



**NOAA
FISHERIES**

Office of
Management
and Budget

Fishery Independent Data Funding Outlook

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Shared Priority

Biological Sampling and Data Collection



Challenge

Declining Funding and Rising Costs



Approach

Simplify and Harmonize Execution of Funding

From Planning to Execution

Budget Planning

- Internal budget planning, priorities guidance, Strategy Execution and Evaluation (SEE) process.

Budget Formulation - President's Budget Request

- Guidance from NOAA, DOC, and OMB is provided to formulate the budget.
- Budget request to Congress is released the 1st Monday in February.

Hearings, Mark-ups and Appropriations

- After the budget request, Congress deliberates on the budget request typically through the spring and summer, and an appropriation bill is provided prior to the start of the fiscal year (Oct. 1).

Budget Execution - Apportionment

- After the budget is appropriated, an apportionment must be signed to allow distribution of funds for obligation.

Rescissions & Other Costs

- From the appropriated amounts, agencies are subject to rescissions and other costs that must be accounted for before arriving at the funding available for a specific program or project.

NMFS Overhead Costs - Definitions

Management and Administrative Costs	Common Services
M&A costs represent those activities performed centrally that support multiple or all components of the respective organization, rather than a single program or component. M&A categories/functions include:	Common Services represent those centrally managed and billed services needed to support NOAA mission programs that broadly benefit and support multiple or all components of the respective organization and cannot be directly tied to a single program.
<ul style="list-style-type: none"> • General Management and Direction/Executive Management • Budget and Finance • Facilities/Other Administrative (CAO functions) • Human Resources • Acquisition and Grants • Information Technology 	<p>Examples can include, but are not limited to, costs such as:</p> <ul style="list-style-type: none"> • rent, utilities, postage and mailing services, warehouse services, copy/printing services (including supplies and maintenance), transportation subsidy, IT-related services, and above standard services.
<ul style="list-style-type: none"> • NMFS priority in FY 2017 to review the current process for accumulating, measuring, reporting, and costing methodologies for NOAA Fisheries overhead costs, including Management and Administrative (M&A) costs, and common services, across all NOAA Fisheries Science Centers, Regional Offices, and Headquarters. • <i>To achieve consistency across NMFS in the definition, application, and accounting for overhead costs.</i> 	

From Appropriation to Grant Award

Appropriation

- Funding amount as Enacted in the Appropriations Report by Program, Project, and Activities (PPA).

Reprogram

- Reprogramming request sponsored by the Administration that can alter funding amounts for specific programs. Reprogramming requests must be approved by Congress.

Rescissions

- Mandatory reductions, enacted in the appropriations bill that must be included. Examples: gov't wide rescissions, rescissions for prior year de-obligations and the rescission for the Hollings Scholarship. Exact amounts for these rescissions will vary from year to year since the de-obligation amount is based on the amount determined in the congressional appropriation Bill, and the Hollings Scholarship is 0.1% of total enacted funding for most budget lines.

NOAA and NMFS Costs

- **Unlike many other federal entities, NOAA does not have dedicated budget lines to fund labor and benefits, or program support costs. Therefore, all of these costs must be covered within the programmatic budget lines provided in the appropriation.**
- Consists of three major elements:
 - Management and Administration Costs (M&A)
 - Common Services
 - Program Support Costs - includes full time permanent staff and contractual obligations that are essential to NOAA Fisheries management of its work to support the specific program.

Final Grant Award

- After including all of these cost elements, we arrive at the final grant award.

SEAMAP Funding Example Walkthrough

SEAMAP Detail PPA	FY 2016	Description
Omnibus Amount	\$5,125,000	"Appropriation" As seen in NMFS Detail Table. Funds are requested at a higher level, though we provide the Hill with detailed budget line requests.
Reprogram (Proposed)	(\$22,398)	Reprogramming request sponsored by the Administration that can alter funding amounts for specific programs. Reprogramming requests must be approved by Congress.
Mandatory Rescissions	(\$31,071)	Rescissions – As directed in Bill, such as any gov't wide rescissions, prior year de-obligations and the Hollings Scholarship
Headquarters Management and Administrative Costs (M&A)	(\$205,128)	<ol style="list-style-type: none"> 1. HQ Management and Administrative Costs (M&A) and Common Services 2. Regional M&A 3. Program Support Costs - includes full time permanent staff and contractual obligations that are essential to NOAA Fisheries management of its work to support the specific program.
HQ Common Services	(\$83,537)	
Regional M&A	(\$469,798)	
Regional Program Support Costs	(\$659,902)	
Grant Amount from SEAMAP	\$3,653,166	Final Grant award amount

Our Commitment: A Strategic and Unified Approach

FY 2017

- Maintain level funding, where possible.

FY 2018

- Eliminate the practice of charging FMC M&A or other indirect costs to these funds.
- Provides a more predictable source of funding that is more directly tied to appropriations.
- Review the direct charges applied to these funds.