

## INCIDENTAL HARASSMENT AUTHORIZATION

The United States Coast Guard (USCG) and their designees are hereby authorized under section 101(a)(5)(D) of the Marine Mammal Protection Act (MMPA; 16 U.S.C. 1371(a)(5)(D)) to incidentally harass marine mammals, under the following conditions:

1. This incidental harassment authorization (IHA) is valid for one year from the date of issuance.
2. This IHA authorizes take incidental to down-the-hole drilling, impact driving and pile installation, as specified in the (USCG)'s March 9<sup>th</sup>, 2021 IHA application, associated with the Floating Dock Extension Project (Project) in Base Ketchikan, Alaska.
3. General Conditions
  - (a) A copy of this IHA must be in the possession of the Holder of the Authorization (Holder), supervisory construction personnel, lead protected species observers (PSOs), and any other relevant designees of the Holder operating under the authority of this IHA at all times that activities subject to this IHA are being conducted.
  - (b) The species and/or stocks authorized for taking are listed in Table 1. Authorized take, by Level B harassment only, is limited to the species and numbers listed in Table 1.
  - (c) The taking by serious injury or death or Level A harassment of any of the species listed in Table 1 or any taking of any other species of marine mammal is prohibited and may result in the modification, suspension, or revocation of this IHA. Any taking exceeding the authorized amounts listed in Table 1 is prohibited and may result in the modification, suspension, or revocation of this IHA.
  - (d) The Holder must ensure that construction supervisors and crews, the monitoring team, and relevant USCG staff are trained prior to the start of activities subject to this IHA, so that responsibilities, communication procedures, monitoring protocols, and operational procedures are clearly understood. New personnel joining during the project must be trained prior to commencing work.
4. Mitigation Requirements

- (a) The Holder must employ PSOs and establish monitoring locations as described in section 5 of this IHA. The Holder must monitor the project area to the maximum extent possible based on the required number of PSOs, required monitoring locations, and environmental conditions.
- (b) Monitoring must take place from 30 minutes prior to initiation of down-the-hole drilling (DTH) and pile driving activity (i.e., pre-start clearance monitoring) through 30 minutes post-completion of DTH and pile driving activity. If in-water work ceases for more than 30 minutes, USCG must conduct pre-clearance monitoring of both the Level B harassment zone and the shutdown zone (Table 2).
- (c) If a marine mammal is observed entering or within the shutdown zones indicated in Table 2, DTH and pile driving activity must be delayed or halted. Pile driving must be commenced or resumed as described in condition 4(e) of this IHA.
- (d) Pre-start clearance monitoring must be conducted during periods of visibility sufficient for the lead PSO to determine that the shutdown zones indicated in Table 2 are clear of marine mammals. DTH and pile driving may commence following 30 minutes of observation when the determination is made that the shutdown zones are clear of marine mammals.
- (e) If DTH and pile driving is delayed or halted due to the presence of a marine mammal, the activity may not commence or resume until either the animal has voluntarily exited and been visually confirmed beyond the shutdown zone indicated in Table 2 or 15 minutes have passed without re-detection of the animal (30 minutes for large cetaceans).
- (f) The Holder must use soft start techniques when impact pile driving. Soft start requires contractors to provide an initial set of three strikes at reduced energy, followed by a 30-second waiting period, then two subsequent reduced-energy strike sets. A soft start must be implemented at the start of each day's impact pile driving and at any time following cessation of impact pile driving for a period of 30 minutes or longer.
- (g) DTH and pile driving activity must be halted (as described in condition 4(c) of this IHA) upon observation of either a species for which incidental take is not authorized or a species for which incidental take has been authorized but the authorized number of takes has been met, entering or within the harassment zone (as shown in Table 2).

- (h) The Holder, construction supervisors and crews, PSOs, and relevant USCG staff must avoid direct physical interaction with marine mammals during construction activity. If a marine mammal comes within 10 meters of such activity, operations must cease and vessels must reduce speed to the minimum level required to maintain steerage and safe working conditions, as necessary to avoid direct physical interaction.
- (i) For humpback whales, if the boundaries of the harassment zone have not been monitored continuously during a work stoppage, the entire harassment zone must be surveyed again to ensure that no humpback whales have entered the harassment zone that were not previously accounted for.
- (j) In-water activities must take place only: between civil dawn and civil dusk when PSOs can effectively monitor for the presence of marine mammals; during conditions with a Beaufort Sea State of 4 or less; when the entire shutdown zone and adjacent waters are visible (e.g., monitoring effectiveness is not reduced due to rain, fog, snow, etc.). Pile driving activities may continue for up to 30 minutes after sunset during evening civil twilight, as necessary to secure a pile for safety prior to demobilization for the evening. The length of the post-activity monitoring period may be reduced if darkness precludes visibility of the shutdown and monitoring zones.

## 5. Monitoring Requirements

- (a) Marine mammal monitoring must be conducted in accordance with the conditions in this section.
- (b) Monitoring must be conducted by qualified, NMFS-approved PSOs, in accordance with the following conditions:
  - (i) PSOs must be independent (i.e., not construction personnel) and have no other assigned tasks during monitoring periods.
  - (ii) At least one PSO must have prior experience performing the duties of a PSO during construction activity pursuant to a NMFS-issued incidental take authorization.
  - (iii) Other PSOs may substitute other relevant experience, education (degree in biological science or related field), or training for prior experience

performing the duties of a PSO during construction activity pursuant to a NMFS-issued incidental take authorization.

- (iv) Where a team of three or more PSOs is required, a lead observer or monitoring coordinator must be designated. The lead observer must have prior experience performing the duties of a PSO during construction activity pursuant to a NMFS-issued incidental take authorization.
  - (v) PSOs must be approved by NMFS prior to beginning any activity subject to this IHA.
- (c) The Holder must establish the following monitoring locations:
- (i) For all DTH and pile driving activities, at least three PSOs must be used.
  - (ii) For all pile driving and DTH activities, a minimum of one PSO must be assigned to each active pile driving or DTH site to monitor the shutdown zones and as much of the Level B harassment zone as possible.
  - (iii) For all DTH and pile driving activities, two additional PSOs are required. The additional PSOs must start at the project site and travel along Tongass Narrows, counting all humpbacks whales present, until they have reached the edge of the respective Level B harassment zone. At this point, the PSOs must identify suitable observation points from which to observe the width of Tongass Narrows for the duration of DTH and pile driving activities. For the largest zones, these are expected to be on South Tongass Highway near Mountain Point and North Tongass Highway just northwest of the intersection with Carlanna Creek.
  - (iv) If visibility deteriorates so that the entire width of Tongass Narrows at the harassment zone boundary is not visible, additional PSOs may be positioned so that the entire width is visible, or work must be halted until the entire width is visible to ensure that any humpback whales entering or within the harassment zone are detected by PSOs.
- (d) PSOs must record all observations of marine mammals, regardless of distance from the pile being driven, as well as the additional data indicated in section 6 of this IHA.

## 6. Reporting

- (a) The Holder must submit its draft report(s) on all monitoring conducted under this IHA within 90 calendar days of the completion of monitoring or 60 calendar days prior to the requested issuance of any subsequent IHA for construction activity at

the same location, whichever comes first. A final report must be prepared and submitted within 30 calendar days following receipt of any NMFS comments on the draft report. If no comments are received from NMFS within 30 calendar days of receipt of the draft report, the report shall be considered final.

- (b) All draft and final monitoring reports must be submitted to *PR.ITP.MonitoringReports@noaa.gov* and *itp.corcoran@noaa.gov*.
- (c) The marine mammal report must contain at minimum, the following:
  - (i) Dates and times (begin and end) of all marine mammal monitoring;
  - (ii) Construction activities occurring during each daily observation period, including:
    - A. The number and type of piles that were driven and the method (e.g., impact, vibratory, down-the-hole);
    - B. Total duration of driving time for each pile (vibratory driving) and number of strikes for each pile (impact driving); and
    - C. For down-the-hole drilling, duration of operation for both impulsive and non-pulse components.
  - (iii) PSO locations during marine mammal monitoring;
  - (iv) Environmental conditions during monitoring periods (at beginning and end of PSO shift and whenever conditions change significantly), including Beaufort sea state and any other relevant weather conditions including cloud cover, fog, sun glare, and overall visibility to the horizon, and estimated observable distance;
  - (v) Upon observation of a marine mammal, the following information:
    - A. Name of PSO who sighted the animal(s) and PSO location and activity at time of sighting;
    - B. Time of sighting;

- C. Identification of the animal(s) (e.g., genus/species, lowest possible taxonomic level, or unidentified), PSO confidence in identification, and the composition of the group if there is a mix of species;
  - D. Distance and bearing of each marine mammal observed relative to the pile being driven for each sighting (if DTH and pile driving was occurring at time of sighting);
  - E. Estimated number of animals (min/max/best estimate);
  - F. Estimated number of animals by cohort (adults, juveniles, neonates, group composition, etc.);
  - G. Animal's closest point of approach and estimated time spent within the harassment zone;
  - H. Description of any marine mammal behavioral observations (e.g., observed behaviors such as feeding or traveling), including an assessment of behavioral responses thought to have resulted from the activity (e.g., no response or changes in behavioral state such as ceasing feeding, changing direction, flushing, or breaching);
  - I. Number of marine mammals detected within the harassment zones, by species;
  - J. If a juvenile elephant seal is detected, note if a tag is located hind flipper and, if possible, record the tag identification number;
- (vi) Detailed information about implementation of any mitigation (e.g., shutdowns and delays), a description of specific actions that ensued, and resulting changes in behavior of the animal(s), if any.
- (d) The Holder must submit all PSO datasheets and/or raw sighting data with the draft report, as specified in condition 6(b) of this IHA. PSO data must be submitted electronically in a format that can be queried such as a spreadsheet or database (i.e. digital images of data sheets are not sufficient).
- (e) Reporting injured or dead marine mammals:

In the event that personnel involved in the construction activities discover an injured or dead marine mammal, the Holder must report the incident to the Office

of Protected Resources (OPR), NMFS (*PR.ITP.MonitoringReports@noaa.gov* and *itp.corcoran@noaa.gov*) and to the Alaska regional stranding network (877 925-7773) as soon as feasible. If the death or injury was clearly caused by the specified activity, the Holder must immediately cease the activities until NMFS OPR is able to review the circumstances of the incident and determine what, if any, additional measures are appropriate to ensure compliance with the terms of this IHA. The Holder must not resume their activities until notified by NMFS.

The report must include the following information:

- (i) Time, date, and location (latitude/longitude) of the first discovery (and updated location information if known and applicable);
- (ii) Species identification (if known) or description of the animal(s) involved;
- (iii) Condition of the animal(s) (including carcass condition if the animal is dead);
- (iv) Observed behaviors of the animal(s), if alive;
- (v) If available, photographs or video footage of the animal(s); and
- (vi) General circumstances under which the animal was discovered.

7. This Authorization may be modified, suspended or revoked if the holder fails to abide by the conditions prescribed herein (including, but not limited to, failure to comply with monitoring or reporting requirements), or if NMFS determines: (1) the authorized taking is likely to have or is having more than a negligible impact on the species or stocks of affected marine mammals, (2) the authorized taking is likely to have or is having an unmitigable adverse impact on the availability of the affected species or stocks for subsistence uses, or (3) the prescribed measures are likely not or are not effecting the least practicable adverse impact on the affected species or stocks and their habitat.

#### 8. Renewals

On a case-by-case basis, NMFS may issue a one-time, one-year Renewal IHA following notice to the public providing an additional 15 days for public comments when (1) up to another year of identical, or nearly identical, activities (or a subset of those activities) are planned or (2) the specified activities will not be completed by the time the IHA expires and a Renewal would allow for completion of the activities, provided all of the following conditions are met:

- (a) A request for renewal is received no later than 60 days prior to the needed Renewal IHA effective date (note a Renewal IHA expiration date cannot extend beyond one year from expiration of this IHA).
- (b) The request for renewal must include the following:
  - (i) An explanation that the activities to be conducted under the requested Renewal IHA are identical to the activities analyzed for this IHA, are a subset of the activities, or include changes so minor (e.g., reduction in pile size) that the changes do not affect the previous analyses, mitigation and monitoring requirements, or take estimates (with the exception of reducing the type or amount of take).
  - (ii) A preliminary monitoring report showing the results of the required monitoring to date and an explanation showing that the monitoring results do not indicate impacts of a scale or nature not previously analyzed or authorized.
- (c) Upon review of the request for Renewal, the status of the affected species or stocks, and any other pertinent information, NMFS determines that there are no more than minor changes in the activities, the mitigation and monitoring measures will remain the same and appropriate, and the findings made in support of this IHA remain valid.

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Table 1. Authorized Incidental Take.

Common name	Scientific name	Stock	Level A harassment	Level B harassment
Humpback whale	<i>Megaptera novaeangliae</i>	Central North Pacific	0	17
Minke whale	<i>Balaenoptera acutorostrata</i>	Alaska	0	1
Killer whale	<i>Orcinus orca</i>	Alaska Resident	0	12
		Northern Resident		
		West Coast Transient		
Pacific White-sided dolphin	<i>Lagenorhynchus obliquidens</i>	North Pacific	0	86
Harbor porpoise	<i>Phocoena phocoena</i>	Southeast Alaska	5	10
Dall's porpoise	<i>Phocoenoides dalli</i>	Alaska	20	40
Gray whale	<i>Eschrichtius robustus</i>	Eastern North Pacific	0	2
Harbor seal	<i>Phoca vitulina richardii</i>	Clarence Strait	10	350
Steller sea lion	<i>Eumetopias jubatus</i>	Eastern	0	300
Northern Elephant Seal	<i>Mirounga angustirostris</i>	California Breeding Stock	0	30

Table 2. Shutdown and Harassment Zones.

Activity	Minimum shutdown zone (m)					Harassment zone(m)
	Low-frequency	Mid-frequency	High-frequency	Phocid	Otariid	
Vibratory	20	20	20	20	20	29
DTH	440	20	520	240	20	6310
Impact	30	20	30	20	20	1848