

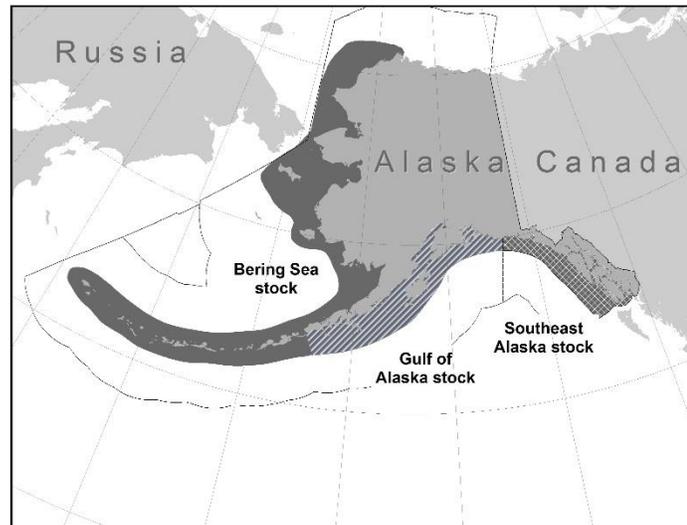
## HARBOR PORPOISE (*Phocoena phocoena*): Southeast Alaska Stock

**NOTE – December 2015:** In areas outside of Alaska, studies of harbor porpoise distribution have indicated that stock structure is likely more fine-scaled than is reflected in the Alaska Stock Assessment Reports. At this time, no data are available to define stock structure for harbor porpoise on a finer scale in Alaska. However, based on comparisons with other regions, it is likely that several regional and sub-regional populations exist. Should new information on harbor porpoise stocks become available, the harbor porpoise Stock Assessment Reports will be updated.

### STOCK DEFINITION AND GEOGRAPHIC RANGE

In the eastern North Pacific Ocean, harbor porpoise range from Point Barrow and offshore areas of the Chukchi Sea, along the Alaska coast, and down the west coast of North America to Point Conception, California (Gaskin 1984, Christman and Aerts 2015). Harbor porpoise primarily frequent the coastal waters of the Gulf of Alaska and Southeast Alaska (Dahlheim et al. 2000, 2009), typically occurring in waters less than 100 m deep; however, occasionally they occur in deeper waters (Hobbs and Waite 2010). Within the inland waters of Southeast Alaska, harbor porpoise distribution is clumped with greatest densities observed in the Glacier Bay/Icy Strait region and near Zarembo and Wrangell Islands and the adjacent waters of Sumner Strait (Dahlheim et al. 2009, 2015). The average density of harbor porpoise in Alaska appears to be less than that reported off the west coast of the continental U.S., although areas of high densities do occur in Glacier Bay and the adjacent waters of Icy Strait, Yakutat Bay, the Copper River Delta, Sitkalidak Strait (Dahlheim et al. 2000, 2009, 2015; Hobbs and Waite 2010), and lower Cook Inlet (Shelden et al. 2014).

Stock discreteness in the eastern North Pacific was analyzed using mitochondrial DNA from samples collected along the west coast (Rosel 1992), including one sample from Alaska. Two distinct mitochondrial DNA groupings or clades were found. One clade is present in California, Washington, British Columbia, and the single sample from Alaska (no samples were available from Oregon), while the other is found only in California and Washington. Although these two clades are not geographically distinct by latitude, the results may indicate a low mixing rate for harbor porpoise along the west coast of North America. Investigation of pollutant loads in harbor porpoise ranging from California to the Canadian border also suggests restricted harbor porpoise movements (Calambokidis and Barlow 1991); these results are reinforced by a similar study in the northwest Atlantic (Westgate and Tolley 1999). Further genetic testing of the same samples mentioned above, along with a few additional samples including eight more from Alaska, found differences between some of the four areas investigated, California, Washington, British Columbia, and Alaska, but inference was limited by small sample size (Rosel et al. 1995). Those results demonstrate that harbor porpoise along the west coast of North America are not panmictic and that movement is sufficiently restricted to result in genetic differences. This is consistent with low movement suggested by genetic analysis of harbor porpoise specimens from the North Atlantic (Rosel et al. 1999). Numerous stocks have been delineated with clinal differences over areas as small as the waters surrounding the British Isles (Walton 1997). In a molecular genetic analysis of small-scale population structure of eastern North Pacific harbor porpoise, Chivers et al. (2002) included 30 samples from Alaska, 16 of which were from the Copper River Delta, 5 from Barrow, 5 from Southeast Alaska, and 1 sample each from St. Paul, Adak, Kodiak, and Kenai. Unfortunately, no conclusions could be drawn about the genetic structure of harbor porpoise within Alaska because of the



**Figure 1.** Approximate distribution of harbor porpoise in Alaska waters.

insufficient number of samples from each region. Accordingly, harbor porpoise stock structure in Alaska is defined by geographic areas at this time.

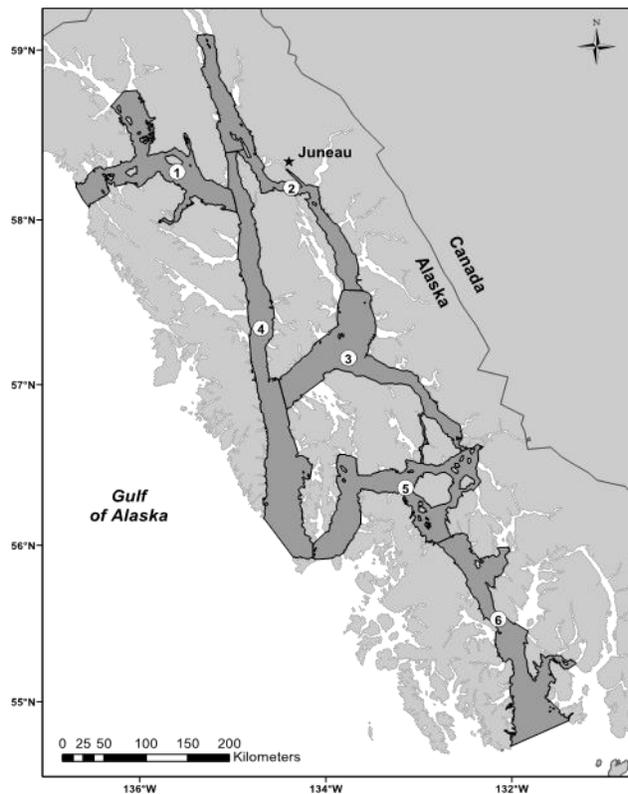
Although it is difficult to determine the true stock structure of harbor porpoise populations in the northeast Pacific, from a management standpoint it would be prudent to assume that regional populations exist and that they should be managed independently (Rosel et al. 1995, Taylor et al. 1996).

Accordingly, from the above information, three harbor porpoise stocks in Alaska were specified, recognizing that the boundaries of these three stocks were identified primarily based upon geography or perceived areas of porpoise low density: 1) the Southeast Alaska stock - occurring from Dixon Entrance to Cape Suckling, Alaska, 2) the Gulf of Alaska stock - occurring from Cape Suckling to Unimak Pass, and 3) the Bering Sea stock - occurring throughout the Aleutian Islands and all waters north of Unimak Pass (Fig. 1). To date, there have been no analyses to assess the validity of these stock designations or to assess possible substructure within these stocks. For example, the porpoise concentrations found in Glacier Bay/Icy Strait and around Zarembo/Wrangell Islands may represent different subpopulations (Dahlheim et al. 2015) based on analogy with other west coast harbor porpoise populations, differences in trends in abundance of the two concentrations, and a hiatus in distribution between the northern and southern harbor porpoise concentrations. NMFS will consider whether these concentrations should be considered “prospective stocks” in a future Stock Assessment Report. Incidental takes from commercial fisheries within a small region (e.g., Wrangell and Zarembo Islands area) are of concern because of the potential impact on undefined localized stocks of harbor porpoise.

### POPULATION SIZE

Information on harbor porpoise abundance and relative abundance has been collected for coastal and inside waters of Southeast Alaska by the Alaska Fisheries Science Center’s Marine Mammal Laboratory (MML) using both aerial and shipboard surveys. Aerial surveys of this stock were conducted in June and July 1997 and resulted in an observed abundance estimate of 3,766 harbor porpoise (CV = 0.162) (Hobbs and Waite 2010); the surveys included a subset of smaller bays and inlets. Correction factors for observer perception bias and porpoise availability at the surface were used to develop an estimated corrected abundance of 11,146 harbor porpoise ( $3,766 \times 2.96$ ; CV = 0.242) in the coastal and inside waters of Southeast Alaska (Hobbs and Waite 2010).

In 1991, researchers initiated harbor porpoise studies aboard the NOAA ship *John N. Cobb* with broad survey coverage through the inland waters of Southeast Alaska. Between 1991 and 1993, line-transect methodology was used to 1) obtain population estimates of harbor porpoise, 2) establish a baseline for detecting trends in abundance, and 3) define overall distributional patterns and seasonality of harbor porpoise. The 1991-1993 vessel surveys were carried out each year in the spring, summer, and fall. Annual surveys were continued between 1994 and 2005; however, only two trips per year were conducted, one either in spring or summer and the other in fall. These surveys were not designed to survey harbor porpoise habitat and standard line-transect methodology was not used; however, all cetaceans observed were recorded. During this 12-year period, observers reported fewer overall encounters with harbor porpoise. To fully assess abundance and population trends for harbor porpoise, line-transect methodology was used during the survey cruises in 2006 and 2007 (Dahlheim et al. 2009) and in 2010-2012 (Dahlheim et al. 2015). Previous studies reported no evidence of seasonal variation in the abundance of harbor porpoise occupying the inland waters of Southeast Alaska. Thus, only data collected during the summer were analyzed, given the broader spatial coverage and the greater number of surveys (i.e., a total of eight



**Figure 2.** Survey strata defined for line-transect survey effort allocation in Southeast Alaska (as illustrated in Fig. 1 of Dahlheim et al. 2015).

line-transect vessel surveys) completed during this season. Methods applied to the 2006-2012 surveys were comparable to those employed during the early 1990s; however, because these surveys only covered a portion of inland waters and not the entire range of this stock, they are not used to compute a stock-specific estimate of abundance. Each year, greater densities of harbor porpoise were observed in the Glacier Bay/Icy Strait region and near Zarembo and Wrangell Islands and adjacent waters of Sumner Strait. The relative abundance of harbor porpoise in inland waters of Southeast Alaska was found to vary across survey periods spanning the 22-year study (1991-2012). Abundance estimated in 1991-1993 ( $N = 1,076$ ; 95% CI = 910-1,272) was higher than the estimate obtained for 2006-2007 ( $N = 604$ ; 95% CI = 468-780) but comparable to the estimate for 2010-2012 ( $N = 975$ ; 95% CI = 857-1,109; Dahlheim et al. 2015). These estimates assume the probability of detection directly on the trackline to be unity ( $g(0) = 1$ ) because estimates of  $g(0)$  have not been computed for these surveys. Therefore, these estimates may be biased low to an unknown degree. A range of possible  $g(0)$  values for harbor porpoise vessel surveys in other regions is 0.5-0.8 (Barlow 1988, Palka 1995), suggesting that as much as 50% of the porpoise can be missed, even by experienced observers.

Using the 2010-2012 survey data for the inland waters of Southeast Alaska, Dahlheim et al. (2015) calculated abundance estimates for the concentrations of harbor porpoise in the northern (Areas 1, 2, and 4) and southern (Areas 3, 5, and 6) regions of the inland waters (Fig. 2). The resulting abundance estimates are 398 harbor porpoise ( $CV = 0.12$ ) in the northern inland waters (including Cross Sound, Icy Strait, Glacier Bay, Lynn Canal, Stephens Passage, and Chatham Strait) and 577 harbor porpoise ( $CV = 0.14$ ) in the southern inland waters (including Frederick Sound, Sumner Strait, Wrangell and Zarembo Islands, and Clarence Strait as far south as Ketchikan). Because these abundance estimates have not been corrected for  $g(0)$ , these estimates are likely underestimates.

### **Minimum Population Estimate**

For the Southeast Alaska stock of harbor porpoise, the minimum population estimate ( $N_{MIN}$ ) for the 1997 aerial surveys is 1,996 porpoise calculated using Equation 1 from the potential biological removal (PBR) guidelines (Wade and Angliss 1997):  $N_{MIN} = N/\exp(0.842 \times [\ln(1+[CV(N)]^2)]^{1/2})$ . These survey data are now more than 8 years old. Using the 2010-2012 abundance estimate for harbor porpoise occupying the inland waters of Southeast Alaska of 975 and the associated coefficient of variation ( $CV$ ) of 0.10,  $N_{MIN}$  is 896 harbor porpoise. Since the abundance estimate represents some portion of the total number of animals in the stock, using this estimate to calculate  $N_{MIN}$  results in a negatively-biased  $N_{MIN}$  for the stock. Although harbor porpoise in the northern and southern regions of the inland waters of Southeast Alaska have not been determined to be subpopulations or stocks, PBR calculations for these areas may provide a frame of reference for comparison to harbor porpoise mortality and serious injury in the portion of the Southeast Alaska salmon drift gillnet fishery that was monitored in 2012-2013. We used pooled 2010-2012 abundance estimates of 398 ( $CV = 0.12$ ; assumes  $g(0) = 1$ ) for the northern region and 577 ( $CV = 0.14$ ; assumes  $g(0) = 1$ ) for the southern region (Dahlheim et al. 2015) to calculate  $N_{MIN}$ s of 359 and 513, respectively, for the concentrations of harbor porpoise in the northern and southern regions of the inland waters of Southeast Alaska. ADF&G Districts 6, 7, and 8, where the Southeast Alaska salmon drift gillnet fishery was observed in 2012-2013 (Manly 2015), partially overlap porpoise survey areas (Areas 5 and 6: Dahlheim et al. 2015) in the southern region of the inland waters.

### **Current Population Trend**

The abundance of harbor porpoise in the Southeast Alaska stock was estimated in 1993 and 1997. In 1993, abundance estimates were determined from a coastal aerial survey from Prince William Sound to Dixon Entrance and a vessel survey in the inside waters of Southeast Alaska (Dahlheim et al. 2000). These surveys produced abundance estimates of 3,982 and 1,586 for the two areas, respectively, giving a combined estimate of 5,568 porpoise for the range of the Southeast Alaska harbor porpoise stock. The 1997 abundance estimate was determined with an aerial survey for both the coastal region from Prince William Sound to Dixon Entrance and the inside waters of Southeast Alaska (Hobbs and Waite 2010). The 1997 estimate of 11,146 is double the 1993 estimate; however, these estimates are not directly comparable because of differences in survey methods. The total area surveyed in 1997 was greater than in 1993 and included a correction of perception bias. For this reason, these estimates from aerial surveys are not appropriate to estimate trends.

An analysis of the line-transect vessel survey data collected throughout the inland waters of Southeast Alaska between 1991 and 2010 suggested high probabilities of a population decline ranging from 2 to 4% per year for the whole study area and highlighted a potentially important conservation issue (Zerbini et al. 2011). However, when data from 2011 and 2012 were added to this analysis, the population decline was no longer significant (Dahlheim et al. 2015). It is unclear why a negative trend in harbor porpoise numbers was detected in inland waters

of Southeast Alaska in 1991-2010 and reversed thereafter (Dahlheim et al. 2015). Regionally, abundance was relatively constant in the northern region of the inland waters of Southeast Alaska throughout the survey period, while declines and subsequent increases were documented in the southern region (Dahlheim et al. 2015).

#### **CURRENT AND MAXIMUM NET PRODUCTIVITY RATES**

A reliable estimate of the maximum net productivity rate ( $R_{MAX}$ ) is not available for the Southeast Alaska stock of harbor porpoise. Hence, until additional data become available, the cetacean maximum theoretical net productivity rate of 4% will be used (Wade and Angliss 1997).

#### **POTENTIAL BIOLOGICAL REMOVAL**

PBR is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor:  $PBR = N_{MIN} \times 0.5R_{MAX} \times F_R$ . The recovery factor ( $F_R$ ) for this stock is 0.5, the value for cetacean stocks with unknown population status (Wade and Angliss 1997). Using the  $N_{MIN}$  of 896 (based on the 2010-2012 abundance estimate for harbor porpoise in the inland waters of Southeast Alaska), PBR is 8.9 harbor porpoise ( $896 \times 0.02 \times 0.5$ ).

Based on text above related to prospective stocks, we have also calculated  $N_{MINs}$  and PBRs for harbor porpoise in the northern and southern regions of the inland waters of Southeast Alaska. These PBR calculations may provide a frame of reference for the observed mortality and serious injury of harbor porpoise in the portion of the Southeast Alaska salmon drift gillnet fishery that was monitored in 2012-2013. Based on the pooled 2010-2012 abundance estimates and corresponding  $N_{MINs}$ , the PBR calculations for the northern and southern regions of the inland waters of Southeast Alaska are 3.6 ( $N = 398$ ;  $CV = 0.12$ ;  $N_{MIN} = 359$ ) and 5.1 harbor porpoise ( $N = 577$ ;  $CV = 0.14$ ;  $N_{MIN} = 513$ ), respectively.

#### **ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY**

Detailed information for each human-caused mortality, serious injury, and non-serious injury reported for NMFS-managed Alaska marine mammals in 2011-2015 is listed, by marine mammal stock, in Helker et al. (2017); however, only the mortality and serious injury data are included in the Stock Assessment Reports. The total estimated annual level of human-caused mortality and serious injury for Southeast Alaska harbor porpoise is 34 porpoise in U.S. commercial fisheries in 2011-2015; however, this estimate is considered a minimum because not all of the salmon and herring fisheries operating within the range of this stock have been observed. Potential threats most likely to result in direct human-caused mortality or serious injury of this stock include entanglement in fishing gear.

#### **Fisheries Information**

Detailed information on U.S. commercial fisheries in Alaska waters (including observer programs, observer coverage, and observed incidental takes of marine mammals) is presented in Appendices 3-6 of the Alaska Stock Assessment Reports.

No mortality or serious injury of harbor porpoise from the Southeast Alaska stock was observed incidental to U.S. federal commercial fisheries in Alaska in 2011-2015 (Breiwick 2013; MML, unpubl. data).

In 2007 and 2008, the Alaska Marine Mammal Observer Program (AMMOP) placed observers in four regions where the state-managed Yakutat salmon set gillnet fishery operates (Manly 2009). These regions included the Alsek River area, the Situk area, the Yakutat Bay area, and the Kaliakh River and Tsiu River areas. Based on four mortalities and serious injuries observed during these 2 years, the estimated mean annual mortality and serious injury rate in the Yakutat salmon set gillnet fishery was 22 harbor porpoise (Table 1). Although these observer data are dated, they are considered the best available data on mortality and serious injury levels in these fisheries.

In 2012 and 2013, the AMMOP placed observers on independent vessels in the state-managed Southeast Alaska salmon drift gillnet fishery in ADF&G Management Districts 6, 7, and 8 to assess mortality and serious injury of marine mammals (Manly 2015). These Management Districts cover areas of Frederick Sound, Sumner Strait, Clarence Strait, and Anita Bay which include, but are not limited to, areas around and adjacent to Petersburg and Wrangell and Zarembo Islands. In 2013, four harbor porpoise were observed entangled and released: two were determined to be seriously injured and two were determined to be not seriously injured. Based on the two observed serious injuries, 23 serious injuries were estimated for Districts 6, 7, and 8 in 2013, resulting in an estimated mean annual mortality and serious injury rate of 12 harbor porpoise in 2012-2013 (Table 1). Since these three districts represent only a portion of the overall fishing effort in this fishery, this is a minimum estimate of mortality and serious injury for the fishery.

**Table 1.** Summary of incidental mortality and serious injury of Southeast Alaska harbor porpoise due to U.S. commercial fisheries in 2011-2015 (or the most recent data available) and calculation of the mean annual mortality and serious injury rate (Manly 2009, 2015). Methods for calculating percent observer coverage are described in Appendix 6 of the Alaska Stock Assessment Reports.

Fishery name	Years	Data type	Percent observer coverage	Observed mortality	Estimated mortality	Mean estimated annual mortality
Yakutat salmon set gillnet	2007	obs data	5.3	1	16.1	22
	2008		7.6	3	27.5	(CV = 0.54)
Southeast Alaska salmon drift gillnet (Districts 6, 7, and 8)	2012	obs data	6.4	0	0	12
	2013		6.6	2	23	(CV = 1.0)
Minimum total estimated annual mortality						34 (CV = 0.77)

A complete estimate of the total mortality and serious injury incidental to U.S. commercial fisheries is unavailable for this stock because not all of the salmon and herring fisheries operating within the range of this stock have been observed. Therefore, based on observed mortality and serious injury in two commercial fisheries (Table 1), the minimum estimated mean annual mortality and serious injury rate incidental to U.S. commercial fisheries is 34 harbor porpoise in 2011-2015.

#### Alaska Native Subsistence/Harvest Information

Subsistence hunters in Alaska have not been reported to take from this stock of harbor porpoise.

#### STATUS OF STOCK

Harbor porpoise are not designated as depleted under the Marine Mammal Protection Act or listed as threatened or endangered under the Endangered Species Act. The total estimated annual level of human-caused mortality and serious injury for Southeast Alaska harbor porpoise (34 porpoise) exceeds the calculated PBR (8.9 porpoise), which means this stock is strategic. The mean annual U.S. commercial fishery-related mortality and serious injury rate (34 porpoise) is more than 10% of the calculated PBR (10% of PBR = 0.9 porpoise), so it is not considered insignificant and approaching a zero mortality and serious injury rate. However, the calculated PBR is likely biased low for the entire stock because it is based on estimates from 2010-2012 surveys of only a portion (the inside waters of Southeast Alaska) of the range of this stock as currently designated. Population trends and status of this stock relative to its Optimum Sustainable Population are currently unknown.

There are key uncertainties in the assessment of the Southeast Alaska stock of harbor porpoise. This stock likely comprises multiple, smaller stocks based on analogy with harbor porpoise populations that have been the focus of specific studies on stock structure. Concentrations of harbor porpoise in the northern and southern regions of the inland waters of Southeast Alaska are identified, and  $N_{MINs}$  and PBR levels are calculated for these areas. Abundance estimates have not been corrected for  $g(0)$  so the estimates are expected to be underestimates. The trend in abundance of harbor porpoise in these regions is unclear; an early decline appears to have reversed in recent years. Several commercial fisheries overlap with the range of this stock and are not observed or have not been observed in a long time; thus, the estimate of commercial fishery mortality and serious injury is expected to be a minimum estimate.

#### HABITAT CONCERNS

Harbor porpoise are mostly found in nearshore areas and inland waters, including bays, tidal areas, and river mouths (Dahlheim et al. 2000, 2009, 2015; Hobbs and Waite 2010). As a result, harbor porpoise are vulnerable to physical modifications of nearshore habitats resulting from urban and industrial development (including waste management and nonpoint source runoff) and activities such as construction of docks and other over-water structures, filling of shallow areas, dredging, and noise (Linnenschmidt et al. 2013).

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