

## **PANTROPICAL SPOTTED DOLPHIN (*Stenella attenuata attenuata*): Northern Gulf of Mexico Stock**

### **STOCK DEFINITION AND GEOGRAPHIC RANGE**

There are two species of spotted dolphin in the Atlantic Ocean, the Atlantic spotted dolphin (*Stenella frontalis*) and the pantropical spotted dolphin (*S. attenuata*) (Perrin *et al.* 1987). The Atlantic spotted dolphin occurs in two forms which may be distinct sub-species (Perrin *et al.* 1987, 1994; Rice 1998): the large, heavily spotted form which inhabits the continental shelf and is usually found inside or near the 200m isobath; and the smaller, less spotted island and offshore form which occurs in the Atlantic Ocean but is not known to occur in the Gulf of Mexico (Fulling *et al.* 2003; Mullin and Fulling 2003; Mullin and Fulling 2004). Where they co-occur, the offshore form of the Atlantic spotted dolphin and the pantropical spotted dolphin can be difficult to differentiate at sea.

The pantropical spotted dolphin is distributed worldwide in tropical and some sub-tropical oceans (Perrin *et al.* 1987; Perrin and Hohn 1994). Sightings of this species occur in oceanic waters of the northern Gulf of Mexico (i.e., U.S. Gulf of Mexico) (Figure 1; Mullin and Fulling 2004; Maze-Foley and Mullin 2006). Pantropical spotted dolphins were seen in all seasons during GulfCet aerial surveys of the northern Gulf of Mexico between 1992 and 1998 (Hansen *et al.* 1996; Mullin and Hoggard 2000).

Some of the Pacific Ocean populations have been divided into different geographic stocks based on morphological characteristics (Perrin *et al.* 1987; Perrin and Hohn 1994). The Gulf of Mexico population is provisionally being considered a separate stock for management purposes, although there is currently no information to differentiate this stock from the Atlantic Ocean stock(s). Additional morphological, genetic and/or behavioral data are needed to provide further information on stock delineation.

### **POPULATION SIZE**

The best abundance estimate available for northern Gulf of Mexico pantropical spotted dolphins is 34,067 (CV=0.18) (Mullin 2007; Table 1). This estimate is pooled from summer 2003 and spring 2004 oceanic surveys covering waters from the 200m isobath to the seaward extent of the U.S. Exclusive Economic Zone (EEZ).

### **Earlier abundance estimates**

Estimates of abundance were derived through the application of distance sampling analysis (Buckland *et al.* 2001) and the computer program DISTANCE (Thomas *et al.* 1998) to sighting data. From 1991 through 1994, line-transect vessel surveys were conducted in conjunction with bluefin tuna ichthyoplankton surveys during spring in the northern Gulf of Mexico from the 200m isobath to the seaward extent of the U.S. EEZ (Hansen *et al.* 1995). Annual cetacean surveys were conducted along a fixed plankton sampling trackline. Survey effort-weighted estimated average abundance of pantropical spotted dolphins for all surveys combined was 31,320 (CV=0.20) (Hansen *et al.* 1995; Table 1).

Similar surveys were conducted during spring from 1996 to 2001 (excluding 1998) in oceanic waters of the northern Gulf of Mexico. Due to limited survey effort in any given year, survey effort was pooled across all years to

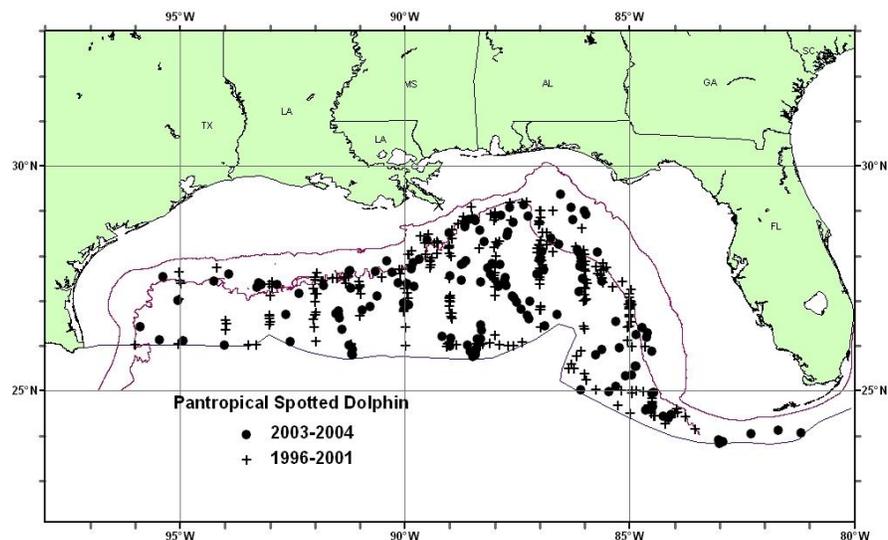


Figure 1. Distribution of pantropical spotted dolphin sightings from SEFSC spring vessel surveys during 1996-2001 and from summer 2003 and spring 2004 surveys. All the on-effort sightings are shown, though not all were used to estimate abundance. Solid lines indicate the 100m and 1,000m isobaths and the offshore extent of the U.S. EEZ.

develop an average abundance estimate. The estimate of abundance for pantropical spotted dolphins in oceanic waters, pooled from 1996 to 2001, was 91,321 (CV=0.16) (Mullin and Fulling 2004; Table 1).

### Recent surveys and abundance estimates

During summer 2003 and spring 2004, line-transect surveys dedicated to estimating the abundance of oceanic cetaceans were conducted in the northern Gulf of Mexico. During each year, a grid of uniformly-spaced transect lines from a random start were surveyed from the 200m isobath to the seaward extent of the U.S. EEZ using NOAA Ship *Gordon Gunter* (Mullin 2007).

As recommended in the GAMMS Workshop Report (Wade and Angliss 1997), estimates older than 8 years are deemed unreliable, and therefore should not be used for PBR determinations. Because most of the data for estimates prior to 2003 were older than this 8-year limit and due to the different sampling strategies, estimates from the 2003 and 2004 surveys were considered most reliable. The estimate of abundance for pantropical spotted dolphins in oceanic waters, pooled from 2003 to 2004, was 34,067 (CV=0.18) (Mullin 2007; Table 1), which is the best available abundance estimate for this species in the northern Gulf of Mexico.

Table 1. Summary of abundance estimates for northern Gulf of Mexico pantropical spotted dolphins. Month, year and area covered during each abundance survey, and resulting abundance estimate ( $N_{best}$ ) and coefficient of variation (CV).			
Month/Year	Area	$N_{best}$	CV
Apr-Jun 1991-1994	Oceanic waters	31,320	0.20
Apr-Jun 1996-2001 (excluding 1998)	Oceanic waters	91,321	0.16
Jun-Aug 2003, Apr-Jun 2004 (pooled)	Oceanic waters	34,067	0.18

### Minimum Population Estimate

The minimum population estimate is the lower limit of the two-tailed 60% confidence interval of the log-normal distributed abundance estimate. This is equivalent to the 20th percentile of the log-normal distributed abundance estimate as specified by Wade and Angliss (1997). The best estimate of abundance for pantropical spotted dolphins is 34,067 (CV=0.18). The minimum population estimate for the northern Gulf of Mexico is 29,311 pantropical spotted dolphins.

### Current Population Trend

There are insufficient data to determine the population trends for this stock. The pooled abundance estimate for 2003-2004 of 34,067 (CV=0.18) and that for 1996-2001 of 91,321 (CV=0.16) are significantly different ( $P < 0.05$ ). However, the 2003-2004 estimate is similar to that for 1991-1994 of 31,320 (CV=0.20). These temporal abundance estimates are difficult to interpret without a Gulf of Mexico-wide understanding of pantropical spotted dolphin abundance. The Gulf of Mexico is composed of waters belonging to the U.S., Mexico and Cuba. U.S. waters only comprise about 40% of the entire Gulf of Mexico, and 65% of oceanic waters are south of the U.S. EEZ. The oceanography of the Gulf of Mexico is quite dynamic, and the spatial scale of the Gulf is small relative to the ability of most cetacean species to travel. Studies based on abundance and distribution surveys restricted to U.S. waters are unable to detect temporal shifts in distribution beyond U.S. waters that might account for any changes in abundance.

### CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Current and maximum net productivity rates are unknown for this stock. For purposes of this assessment, the maximum net productivity rate was assumed to be 0.04. This value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive life history (Barlow *et al.* 1995).

### POTENTIAL BIOLOGICAL REMOVAL

Potential biological removal level (PBR) is the product of the minimum population size, one half the maximum net productivity rate, and a recovery factor (MMPA Sec. 3.16 U.S.C. 1362; Wade and Angliss 1997). The minimum population size is 29,311. The maximum productivity rate is 0.04, the default value for cetaceans. The “recovery” factor, which accounts for endangered, depleted, threatened stocks, or stocks of unknown status relative to optimum sustainable population (OSP), is assumed to be 0.5 because the stock is of unknown status. PBR for the northern Gulf of Mexico pantropical spotted dolphin stock is 293.

## ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

The estimated annual average fishery-related mortality or serious injury for this stock during 2005-2009 is 3.2 pantropical spotted dolphins (CV=0.69; Table 2).

### Fisheries Information

The level of past or current, direct, human-caused mortality of pantropical spotted dolphins in the northern Gulf of Mexico is unknown; however, interactions between pantropical spotted dolphins and the pelagic longline fishery have been observed in the Gulf of Mexico. Pelagic swordfish, tunas and billfish are the targets of the longline fishery operating in the northern Gulf of Mexico. There were no reports of mortality or serious injury to pantropical spotted dolphins by this fishery during 1998-2008 (Yeung 1999; Yeung 2001; Garrison 2003; Garrison and Richards 2004; Garrison 2005; Fairfield Walsh and Garrison 2006; Fairfield-Walsh and Garrison 2007; Fairfield and Garrison 2008; Garrison *et al.* 2009). However, during 2009, 4 pantropical spotted dolphins were observed to be seriously injured (3 during quarter 2 and 1 during quarter 4) and 1 pantropical spotted dolphin was released alive with no presumed serious injury after entanglement interactions with the pelagic longline fishery (Garrison and Stokes 2010). Estimated serious injuries of pantropical spotted dolphins attributable to the pelagic longline fishery in the Gulf of Mexico region totaled 15.9 (CV=0.69) in 2009. The average annual serious injury and mortality in the Gulf of Mexico pelagic longline fishery for the 5-year period from 2005 to 2009 is 3.2 (CV=0.69; Table 2).

Table 2. Summary of the incidental mortality and serious injury of Gulf of Mexico pantropical spotted dolphins in the Pelagic Longline fishery including the years sampled (Years), the type of data used (Data Type), the annual observer coverage (Observer Coverage), the observed mortalities and serious injuries recorded by on-board observers, the estimated annual mortality and serious injury, the combined annual estimates of mortality and serious injury (Estimated Combined Mortality), the estimated CV of the combined estimates (Estimated CVs) and the mean of the combined estimates (CV in parentheses).

Fishery	Years	Data Type <sup>a</sup>	Observer Coverage	Observed Serious Injury	Observed Mortality	Estimated Serious Injury	Estimated Mortality	Estimated Combined Mortality	Estimated CVs	Mean Annual Mortality
Pelagic Longline	05-09	Obs. Data Logbook	.07, .08, .15, .25, .21	0, 0, 0, 0, 4	0, 0, 0, 0, 0	0, 0, 0, 0, 16	0, 0, 0, 0, 0	0, 0, 0, 0, 16	NA, NA, NA, NA, .69	3.2 (.69)

<sup>a</sup> Mandatory logbook data were used to measure total effort for the longline fishery. These data are collected at the Southeast Fisheries Science Center (SEFSC).

### Other Mortality

Five pantropical spotted dolphins stranded in the Gulf of Mexico during 2005-2009 (2 in Florida in 2008 and 2009, 2 in Alabama in 2005 and 2009, and 1 in Texas in 2009; NOAA National Marine Mammal Health and Stranding Response Database unpublished data, accessed 17 November 2010). No evidence of human interactions was detected for 2 of these stranded animals, and for the remaining 3 animals, it could not be determined if there was evidence of human interactions. Stranding data probably underestimate the extent of fishery-related mortality and serious injury because not all of the marine mammals which die or are seriously injured in fishery interactions wash ashore, not all that wash ashore are discovered, reported or investigated, nor will all of those that do wash ashore necessarily show signs of entanglement or other fishery interaction. Finally, the level of technical expertise among stranding network personnel varies widely as does the ability to recognize signs of fishery interactions.

### STATUS OF STOCK

The status of pantropical spotted dolphins in the northern Gulf of Mexico, relative to OSP, is unknown. The species is not listed as threatened or endangered under the Endangered Species Act. There are insufficient data to determine the population trends for this stock. Total human-caused mortality and serious injury for this stock is not known. There is insufficient information available to determine whether the total fishery-related mortality and serious injury for this stock is insignificant and approaching zero mortality and serious injury rate. This is not a strategic stock because it is assumed that the average annual human-related mortality and serious injury does not exceed PBR.

## REFERENCES CITED

- Barlow, J., S. L. Swartz, T. C. Eagle and P. R. Wade. 1995. U.S. Marine mammal stock assessments: Guidelines for preparation, background, and a summary of the 1995 assessments. NOAA Tech. Memo. NMFS-OPR-6, 73 pp.
- Buckland, S. T., D. R. Anderson, K. P. Burnham, J. L. Laake, D. L. Borchers and L. Thomas. 2001. Introduction to distance sampling: Estimating abundance of biological populations. Oxford University Press. 432 pp.
- Fairfield Walsh, C. and L. P. Garrison. 2006. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2005. NOAA Tech. Memo. NMFS-SEFSC-539, 52 pp.
- Fairfield-Walsh, C. and L. P. Garrison. 2007. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2006. NOAA Tech. Memo. NMFS-SEFSC-560, 54 pp.
- Fairfield, C. P. and L. P. Garrison. 2008. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2007. NOAA Tech. Memo. NMFS-SEFSC-572, 62 pp.
- Fulling, G. L., K. D. Mullin and C. W. Hubbard. 2003. Abundance and distribution of cetaceans in outer continental shelf waters of the U.S. Gulf of Mexico. Fish. Bull. 101: 923-932.
- Garrison, L. P. 2003. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2001-2002. NOAA Tech. Memo. NMFS-SEFSC-515, 52 pp.
- Garrison, L. P. 2005. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2004. NOAA Tech. Memo. NMFS-SEFSC-531, 57 pp.
- Garrison, L. P. and P. M. Richards. 2004. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2003. NOAA Tech. Memo. NMFS-SEFSC-527, 57 pp.
- Garrison, L. P., L. Stokes and C. Fairfield. 2009. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2008. NOAA Tech. Memo. NMFS-SEFSC-591, 63 pp.
- Garrison, L. P. and L. Stokes. 2010. Estimated bycatch of marine mammals and turtles in the U.S. Atlantic pelagic longline fleet during 2009. NOAA Tech. Memo. NMFS-SEFSC-607, 64 pp.
- Hansen, L. J., K. D. Mullin and C. L. Roden. 1995. Estimates of cetacean abundance in the northern Gulf of Mexico from vessel surveys. Southeast Fisheries Science Center, Miami Laboratory, Contribution No. MIA-94/95-25, 9 pp. Available from: NMFS, Southeast Fisheries Science Center, 75 Virginia Beach Dr., Miami, FL 33149.
- Hansen, L. J., K. D. Mullin, T. A. Jefferson and G. P. Scott. 1996. Visual surveys aboard ships and aircraft. pp. 55-132. *In*: R. W. Davis and G. S. Fargion (eds.) Distribution and abundance of marine mammals in the north-central and western Gulf of Mexico: Final report. Volume II: Technical report. OCS Study MMS 96-0027. Minerals Management Service, Gulf of Mexico OCS Region, New Orleans, LA.
- Maze-Foley, K. and K. D. Mullin. 2006. Cetaceans of the oceanic northern Gulf of Mexico: Distributions, group sizes and interspecific associations. J. Cetacean Res. Manage. 8(2): 203-213.
- Mullin, K. D. 2007. Abundance of cetaceans in the oceanic Gulf of Mexico based on 2003-2004 ship surveys. 26 pp. Available from: NMFS, Southeast Fisheries Science Center, P.O. Drawer 1207, Pascagoula, MS 39568.
- Mullin, K. D. and G. L. Fulling. 2003. Abundance and distribution of cetaceans in the southern U.S. Atlantic Ocean during summer 1998. Fish. Bull. 101: 603-613.
- Mullin, K. D. and G. L. Fulling. 2004. Abundance of cetaceans in the oceanic northern Gulf of Mexico. Mar. Mamm. Sci. 20(4): 787-807.
- Mullin, K. D. and W. Hoggard. 2000. Visual surveys of cetaceans and sea turtles from aircraft and ships. pp. 111-172. *In*: R. W. Davis, W. E. Evans and B. Würsig (eds.) Cetaceans, sea turtles and seabirds in the northern Gulf of Mexico: Distribution, abundance and habitat associations. Volume II: Technical report. OCS Study MMS 2000-003. U.S. Department of the Interior, Minerals Management Service, Gulf of Mexico OCS Region, New Orleans, LA.
- Perrin, W. F., E. D. Mitchell, J. G. Mead, D. K. Caldwell, M. C. Caldwell, P. J. H. van Bree and W. H. Dawbin. 1987. Revision of the spotted dolphins, *Stenella* spp. Mar. Mamm. Sci. 3(2): 99-170.
- Perrin, W. F. and A. A. Hohn. 1994. Pantropical spotted dolphin *Stenella attenuata* (Meyen, 1833). pp. 129-159. *In*: S. H. Ridgway and R. Harrison (eds.) Handbook of marine mammals, Vol. 5: The first book of dolphins. Academic Press, London. 416 pp.
- Perrin, W. F., D. K. Caldwell and M. C. Caldwell. 1994. Atlantic spotted dolphin. pp.173-190. *In*: S. H. Ridgway and R. Harrison (eds.) Handbook of marine mammals, Volume 5: The first book of dolphins. Academic Press, London. 418 pp.
- Rice, D. W. 1998. Marine mammals of the world, systematics and distribution. Spec. Publ. No 4. The Society for

- Marine Mammalogy, Lawrence, KS. 231 pp.
- Thomas, L., J. L. Laake, J. F. Derry, S. T. Buckland, D. L. Borchers, D. R. Anderson, K. P. Burnham, S. Strindberg, S. L. Hedley, F. F. C. Marques, J. H. Pollard and R. M. Fewster. 1998. Distance 3.5. Research Unit for Wildlife Population Assessment, University of St. Andrews, St. Andrews, UK.
- Wade, P. R. and R. P. Angliss. 1997. Guidelines for assessing marine mammal stocks: Report of the GAMMS Workshop April 3-5, 1996, Seattle, WA. NOAA Tech Memo. NMFS-OPR-12, 93 pp.
- Yeung, C. 1999. Estimates of marine mammal and marine turtle bycatch by the U.S. Atlantic pelagic longline fleet in 1998. NOAA Tech. Memo. NMFS-SEFSC-430, 26 pp.
- Yeung, C. 2001. Estimates of marine mammal and marine turtle bycatch by the U.S. Atlantic pelagic longline fleet in 1999-2000. NOAA Tech. Memo. NMFS-SEFSC-467, 43 pp.