STRIPED DOLPHIN (Stenella coeruleoalba): Western North Atlantic Stock

STOCK DEFINITION AND GEOGRAPHIC RANGE

The striped dolphin, *Stenella coeruleoalba*, is distributed worldwide in warm-temperate to tropical seas (Archer and Perrin 1997; Archer 2002). Striped dolphins are found in the western North Atlantic from Nova Scotia south to at least Jamaica and in the Gulf of Mexico. In general, striped dolphins appear to prefer continental slope waters offshore to the Gulf Stream (Leatherwood *et al.* 1976; Perrin *et al.* 1994; Schmidly 1981). There is very little information concerning striped dolphin stock structure in the western North Atlantic (Archer and Perrin 1997).

In waters off the northeastern U.S. coast, striped dolphins are distributed along the continental shelf edge from Cape Hatteras to the southern margin of Georges Bank, and also occur offshore over the continental slope and rise in the mid-Atlantic region (CETAP 1982; Mullin and Fulling 2003). Continental shelf edge sightings in this program were generally centered along the 1,000 m depth contour in all seasons (CETAP 1982). During 1990 and 1991 cetacean habitat-use surveys, striped dolphins were associated with the Gulf Stream north wall and warm-core ring features (Waring *et al.* 1992). Striped dolphins seen in a survey of the New England Sea Mounts (Palka 1997) were in waters that were between 20and 27°C and dee per than 900 m.

Although striped dolphins are considered to be uncommon in Canadian Atlantic waters (Baird *et al.* 1997), summer sightings (2-125 individuals) in the deeper and warmer waters of the Gully (submarine canyon off eastern Nova Scotia shelf) suggest that this region may be an important part of their range (Gowans and Whitehead 1995; Baird *et al.* 1997).

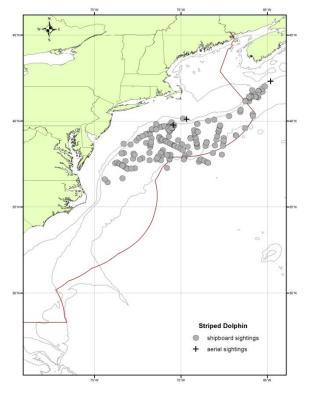


Figure 1: Distribution of striped dolphin sightings from NEFSC and SEFSC shipboard and aerial surveys during the summers of 1998, 1999, 2002, 2004, 2006, 2007, 2010 and 2011. Isobaths are the 100-m, 1000-m and 4000-m depth contours.

POPULATION SIZE

Total numbers of striped dolphins off the U.S. or Canadian Atlantic coast are unknown, although several estimates from selected regions are available for select time periods. Sightings are almost exclusively in the continental shelf edge and continental slope areas west of Georges Bank (Figure 1). The best abundance estimate for striped dolphins is the result of the 2011 survey—46,882 (CV=0.33).

Earlier abundance estimates

An abundance estimate of 36,780 striped dolphins (CV=0.27) was obtained from an aerial survey program conducted from 1978 to 1982 on the continental, shelf and shelf edge waters between Cape Hatteras, North Carolina and Nova Scotia (CETAP 1982). Abundance estimates of 25,939 (CV=0.36) and 13,157 (CV=0.45) striped dolphins were obtained from line-transect aerial surveys conducted from August to September 1991 using the Twin Otter and AT-11aircraft (NMFS 1991). An abundance estimate of 31,669 (CV=0.73) striped dolphins was obtained from a July to September 1995 sighting survey conducted by two ships and an airplane that covered waters from Virginia to the mouth of the Gulf of St. Lawrence. An abundance estimate of 49,945 (CV=0.40) striped dolphins was obtained from the sum of the estimate of 39,720 (CV=0.45) striped dolphins from a line-transect sighting survey conducted during 6 July to 6 September 1998 by a ship and plane that surveyed 15,900 km of track line in waters north of Maryland (38°N)

(Palka 2006), and the estimate of 10,225 (CV=0.91) striped dolphins, estimated from a shipboard line-transect sighting survey conducted between 8 July and 17 August 1998 that surveyed 4,163 km of track line in waters south of Maryland (38°N) (Mullin and Fulling 2003). As recommended in the GAMMS Workshop Report (Wade and Angliss 1997), estimates older than eight years are deemed unreliable, and should not be used for PBR determinations. Further, due to changes in survey methodology these data should not be used to make comparisons to more current estimates

Recent surveys and abundance estimates

An abundance estimate of 52,055 (CV=0.57) striped dolphins was obtained from a line-transect sighting survey conducted during June 12 to August 4, 2004 by a ship and plane that surveyed 10,761 km of trackline in waters north of Maryland (38°N) to the Bay of Fundy (45°N) (Table 1; Palka 2006). Shipboard data were collected using the two-independent-team line-transect method and analyzed using the modified direct-duplicate method (Palka 1995) accounting for biases due to school size and other potential covariates, reactive movements (Palka and Hammond 2001), and g(0), the probability of detecting a group on the track line. Aerial data were collected using the Hiby circle-back line-transect method (Hiby 1999) and analyzed accounting for g(0) and biases due to school size and other potential covariates (Palka 2005).

A shipboard survey of the U.S. Atlantic outer continental shelf and continental slope (water depths >50 m) between Florida and Maryland (27.5 and 38°N) was conducted during June–August, 2004. The survey employed two independent visual teams searching with 25x bigeye binocluars. Survey effort was stratified to include increased effort along the continental shelf break and Gulf Stream Front in the mid-Atlantic. The survey included 5,659 km of trackline, and there were a total of 473 cetacean sightings. Sightings were most frequent in waters North of Cape Hatteras, North Carolina along the shelf break. Data were corrected for visibility bias (g(0)) and group-size bias and analyzed using line-transect distance analysis (Palka 1995, 2006; Buckland *et al.* 2001). The resulting abundance estimate for striped dolphins between Florida and Maryland was 42,407 animals (CV=0.53).

An abundance estimate of 46,882 (CV=0.33) striped dolphins was generated from a shipboard and aerial survey conducted during June–August 2011 (Palka 2012). The aerial portion that contributed to the abundance estimate covered 5,313 km of tracklines that were over waters north of New Jersey and shallower than the 100-m depth contour through the U.S. and Canadian Gulf of Maine and up to and including the lower Bay of Fundy. The shipboard portion covered 3,107 km of tracklines that were in waters offshore of North Carolina to Massachusetts (waters that were deeper than the 100-m depth contour out to beyond the U.S. EEZ). Both sighting platforms used a two-simultaneous team data collection procedure, which allows estimation of abundance corrected for perception bias of the detected species (Laake and Borchers, 2004). Estimation of the abundance was based on the independent observer approach assuming point independence (Laake and Borchers 2004) and calculated using the mark-recapture distance sampling (MRDS) option in the computer program Distance (version 6.0, release 2, Thomas *et al.* 2009). Striped dolphins were also seen during the SEFSC summer 2011 abundance survey, which covered waters from North Carolina to Florida. The abundance resulting from the SEFSC survey has currently not yet been estimated.

Table 1. Summary of abundance estimates for western North Atlantic striped dolphins. Month, year, and area covered during each abundance survey, and resulting abundance estimate (N _{best}) and coefficient of variation (CV).						
Month/Year	Area	N _{best}	CV			
Jun-Aug 2004	Maryland to the Bay of Fundy	52,055	0.57			
Jun-Aug 2004	Florida to Maryland	42,407	0.53			
Jun-Aug 2004	Florida to Bay of Fundy (COMBINED)	94,462	0.40			
Jun-Aug 2011	North Carolina to lower Bay of Fundy	46,882	0.33			

Minimum Population Estimate

The minimum population estimate is the lower limit of the two-tailed 60% confidence interval of the log-normally distributed best abundance estimate. This is equivalent to the 20th percentile of the log-normal distribution as specified by Wade and Angliss (1997). The best estimate of abundance for striped dolphins is 46,882 (CV=0.33) obtained from the 2011 surveys. The minimum population estimate for the western North Atlantic striped dolphin is 35,763.

Current Population Trend

There are insufficient data to determine population trends for this species.

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Current and maximum net productivity rates are unknown for this stock. For purposes of this assessment, the maximum net productivity rate was assumed to be 0.04. This value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive life history (Barlow 1995).

POTENTIAL BIOLOGICAL REMOVAL

Potential Biological Removal (PBR) is the product of minimum population size, one-half the maximum productivity rate, and a recovery factor (MMPA Sec. 3. 16 U.S.C. 1362; Wade and Angliss 1997). The minimum population size is 38,373. The maximum productivity rate is 0.04, the default value for cetaceans. The recovery factor, which accounts for endangered, depleted, threatened stocks, or stocks of unknown status relative to optimum sustainable population (OSP) is 0.5 because this stock is of unknown status. PBR for the western North Atlantic striped dolphin is 358.

ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

Total annual estimated average fishery-related mortality to this stock during 2006–2010 was zero striped dolphins.

Fishery Information

Detailed fishery information is reported in Appendix III.

Earlier Interactions

The pelagic drift gillnet fishery is now closed. Forty striped dolphin mortalities were observed between 1989 and 1998 and occurred east of Cape Hatteras in January and February, and along the southern margin of Georges Bank in summer and autumn (Northridge 1996). Estimated annual mortality and serious injury (CV in parentheses) attributable to the pelagic drift gillnet fishery were 39 striped dolphins in 1989 (0.31), 57 in 1990 (0.33), 11 in 1991 (0.28), 7.7 in 1992 (0.31), 21 in 1993 (0.11), 13 in 1994 (0.06), 2 in 1995 (0), 7 in 1996 (CV=0.22), no fishery in 1997 and 4 in 1998 (CV=0).

In the North Atlantic bottom trawl fishery the only reported fishery-related mortalities (two) occurred in 1991, where the total estimated mortality and serious injury attributable to this fishery for 1991 was 181 (CV=0.97).

USA

Bycatch has previously been observed by NMFS Fisheries Observer Program in the pelagic drift gillnet and North Atlantic bottom trawl fisheries (see above) but no mortalities or serious injuries have recently been documented in any U.S. fishery.

CANADA

No mortalities were documented in review of Canadian gillnet and trap fisheries (Read 1994). However, in a review of striped dolphins in Atlantic Canada two records of incidental mortality have been reported (Baird *et al.* 1997) In the late 1960s and early 1970s two mortalities each were reported in trawl and salmon net fisheries.

Between January 1993 and December 1994, 36 Spanish deep-water trawlers, covering 74 fishing trips (4,726 fishing days and 14,211sets), were observed in NAFO Fishing Area 3 (off the Grand Bank) (Lens 1997). A total of 47 incidental catches were recorded, which included two striped dolphins. The incidental mortality rate for striped dolphins was 0.014/set.

Other Mortality

A total of 68 striped dolphins have been reported stranded along the U.S. Atlantic coast between 1995 and 2005 (NMFS unpublished data). This includes one record of a mass stranding of 12 animals in North Carolina in 2005. During the period 2006–2010, a total of 47 striped dolphins were reported stranded along the U.S. Atlantic coast (Table 2).

In eastern Canada, 10 strandings were reported off eastern Canada from 1926-1971, and 19 from 1991-1996 (Sergeant *et al.* 1970; Baird *et al.* 1997; Lucas and Hooker 1997). In both time periods, most of the strandings were on Sable Island, Nova Scotia. Two stranding mortalities were reported in Nova Scotia in 2004 and two in 2005.

Table 3: Striped dolphin reported strandings along the U.S. Atlantic coast 2006–2010.							
Stranding State	2006	2007	2008	2009	2010	Total	
Maine	0	1	0	0	0	1	
Massachusetts ^a	1	5	2	2	4	14	
Rhode Island	0	0	1	0	1	2	
New York	4	2	0	1	1	8	
New Jersey ^b	1	2	7	0	2	12	
Delaware	0	1	0	0	0	1	
North Carolina ^b	1	3	2	2	0	8	
Maryland	0	1	0	0	0	1	
TOTALS	7	15	12	5	8	47	

a. In 2007 one live stranding in Massachusetts was classified as a human interaction due to being pushed off the beach.

b. In 2008 one animal in New Jersey and one in North Carolina were classified as fishery interaction mortalities.

STATUS OF STOCK

Average annual human-related mortality and serious injury does not exceed the PBR; therefore, this is not a strategic stock. The total U.S. fishery-related mortality and serious injury for this stock is less than 10% of the calculated PBR, therefore can be considered to be insignificant and approaching zero mortality and serious injury rate. The status of striped dolphins, relative to OSP, in the U.S. Atlantic EEZ is unknown. There are insufficient data to determine the population trends for this species. The species is not listed as threatened or endangered under the Endangered Species Act.

REFERENCES CITED

- Archer, F. I. 2009. Striped dolphin *Stenella coeruleoalba*, Pages 1127-1129 *in*: Perrin W.F., B. Wursig, and J.G.M. Thewissen (eds.) Encyclopedia of Marine Mammals, second edition, Academic Press, San Diego.
- Archer, F.I., II and W.F. Perrin. 1997. Species account of striped dolphins (*Stenella coeruleoalba*). Paper SC/49/SM27 presented to the IWC Scientific Committee, September 1997. 27 pp.
- Baird, R.W., S. K. Hooker, H. Whitehead and R. Etcheberry. 1997. A review of records of striped dolphins (*Stenella coeruleoalba*) from Canadian waters. IWC Doc. SC/49/SM4, 10 pp.
- Barlow, J., S.L. Swartz, T.C. Eagle and P.R. Wade. 1995. U.S. Marine Mammal Stock Assessments: Guidelines for Preparation, Background, and a Summary of the 1995 Assessments. NOAA Tech. Memo. NMFS-OPR-6, 73 pp.
- Buckland, S.T., D.R. Andersen, K.P Burnham, J.L. Laake, D.L. Borchers and L. Thomas. 2001. Introduction to Distance Sampling estimating abundance of biological populations. Oxford University Press, New York, 432 pp.
- CETAP. 1982. A characterization of marine mammals and turtles in the mid- and north Atlantic areas of the U.S. outer continental shelf. Cetacean and Turtle Assessment Program, University of Rhode Island. Final Report, Contract AA51-C78-48, Bureau of Land Management, Washington, DC, 538 pp.
- Gowans, S. and H. Whitehead. 1995. Distribution and habitat partitioning by small odontocetes in the Gully, a submarine canyon on the Scotian Shelf. Can. J. Zool. 73:1599-1608.
- Hiby, L. 1999. The objective identification of duplicate sightings in aerial survey for porpoise. Pages 179-189 *in*: G.W. Garner, S.C. Amstrup, J.L. Laake, B.F.J. Manly, L.L. McDonald, and D.G. Robertson (eds.). Marine Mammal Survey and Assessment Methods. Balkema, Rotterdam.

- Laake, J.L. and D.L. Borchers 2004. Methods for incomplete detection at distance zero, In: Advanced distance sampling, edited by S. T. Buckland, D. R. Andersen, K. P. Burnham, J. L. Laake, and L. Thomas, pp. 108–189, Oxford University Press, New York.
- Leatherwood, S., D.K. Caldwell and H.E. Winn. 1976. Whales, dolphins, and porpoises of the western North Atlantic. A guide to their identification. U.S. Dept. of Commerce, NOAA Tech. Rep. NMFS Circ. 396, 176 pp.
- Lens, S. 1997. Interactions between marine mammals and deep water trawlers in the NAFO regulatory area. ICES CM 1997/Q:8. 10 pp.
- Lucas, A.N. and S.K. Hooker. 1997. Cetacean strandings on Sable Island, Nova Scotia, 1990-1996. Paper SC/49/06 presented to the IWC Scientific Committee, September 1997. 10 pp.
- Mullin, K.D and G.L. Fulling. 2003. Abundance of cetaceans in the southern U.S. Atlantic Ocean during summer 1998. Fish. Bull., U.S 101:603-613.
- NMFS [National Marine Fisheries Service]. 1991. Northeast cetacean aerial survey and interplatform study. NOAA, NMFS, NEFSC & SEFSC, 4 pp.
- Northridge, S. 1996. Estimation of cetacean mortality in the U.S. Atlantic swordfish and tuna drift gillnet and pair trawl fisheries. Final report to the Northeast Fisheries Science Center, Contract No. 40ENNF500160.
- Palka, D. 1995. Abundance estimate of the Gulf of Maine harbor porpoise. Rep. int. Whal. Commn (Special Issue) 16:27-50.
- Palka, D.L. 1997. A review of striped dolphins (*Stenella coeruleoalba*) in U.S. Atlantic waters. IWC Doc. SC/49/SM26, 13 pp.
- Palka, D. and Hammond, P.S. 2001 . Accounting for responsive movement in line transect estimates of abundance. Can. J. Fish. Aquat. Sci. 58: 777-787.
- Palka, D. 2005. Aerial surveys in the northwest Atlantic: estimation of g(0). Pages 12-17 in: Proceedings of the workshop on Estimation of g(0) in line-transect surveys of cetaceans, , European Cetacean Society's 18th Annual Conference; Kolmården, Sweden; Mar. 28, 2004, edited by F. Thompsen, F. Ugarte and P. G. H. Evans,..Palka, D.L. 2006. Summer abundance estimates of cetaceans in US North Atlantic Navy Operating Areas. Northeast Fish. Sci. Cent. Ref. Doc. 06-03; 41 pp.
- Palka, D.L. 2012. Cetacean abundance estimates in US northwestern Atlantic Ocean waters from summer 2011 line transect survey. Northeast Fish. Sci. Cent. Ref. Doc. 12-29. 37 pp. http://www.nefsc.noaa.gov/nefsc/publications/crd/crd/229/
- Perrin, W.F., C.E. Wilson and F.I. Archer II. 1994. Pages 129-159 *In*: S.H. Ridgway and R. Harrison (eds.), Handbook of marine mammals, Volume 5: The first book of dolphins, Academic Press, San Diego.
- Read, A.J. 1994. Interactions between cetaceans and gillnet and trap fisheries in the northwest Atlantic. Rep. Int. Whal. Comm. (Special Issue) 15: 133-147.
- Sergeant, D.E., A.W. Mansfield and B. Beck. 1970. Inshore records of cetacea for eastern Canada, 1949-68. J. Fish. Res. Brd. of Can. 27: 1903-1915.
- Schmidly, D.J. 1981. Marine mammals of the southeastern United States coast and the Gulf of Mexico. Pub. No. FWS/OBS-80/41, U.S. Fish and Wildlife Service, Office of Biological Services, Washington, DC, 163 pp.
- Thomas L, J.L. Laake, E. Rexstad, S. Strindberg, F.F.C. Marques, S.T. Buckland, D.L. Borchers, D.R. Anderson, K.P. Burnham, M.L. Burt, S.L. Hedley, J.H. Pollard, J.R.B. Bishop and T.A. Marques. 2009. Distance 6.0. Release 2. [Internet]. University of St. Andrews (UK): Research Unit for Wildlife Population Assessment. Available from: http://www.ruwpa.st-and.ac.uk/distance/
- Wade, P.R. and R.P. Angliss. 1997. Guidelines for assessing marine mammal stocks: Report of the GAMMS Workshop April 3-5, 1996, Seattle, Washington. NOAA Tech. Memo. NMFS-OPR-12, 93 pp.
- Waring, G.T., C.P. Fairfield, C.M. Ruhsam and M. Sano. 1992. Cetaceans associated with Gulf Stream features off the northeastern USA shelf. ICES Marine Mammals Comm. CM 1992/N:12, 29 pp.