

## SHORT-FINNED PILOT WHALE (*Globicephala macrorhynchus*): Western North Atlantic Stock

### STOCK DEFINITION AND GEOGRAPHIC RANGE

There are 2 species of pilot whales in the western North Atlantic - the long-finned pilot whale, *Globicephala melas melas*, and the short-finned pilot whale, *G. macrorhynchus*. These species are difficult to differentiate at sea and cannot be reliably visually identified during either abundance surveys or observations of fishery mortality without high-quality photographs (Rone and Pace 2012); therefore, the ability to separately assess the 2 species in U.S. Atlantic waters is complex and requires additional information on seasonal spatial distribution. Undifferentiated pilot whales (*Globicephala* sp.) in the western North Atlantic occur primarily near the continental shelf break ranging from Florida to the Nova Scotia Shelf (Mullin and Fulling 2003). Long-finned and short-finned pilot whales overlap spatially along the mid-Atlantic shelf break between New Jersey and the southern flank of Georges Bank (Payne and Heinemann 1993). Long-finned pilot whales have occasionally been observed stranded as far south as South Carolina, and short-finned pilot whales have occasionally been observed stranded as far north as Massachusetts. The latitudinal ranges of the two species therefore remain uncertain, although south of Cape Hatteras, most pilot whale sightings are expected to be short-finned pilot whales, while north of  $\sim 42^{\circ}\text{N}$  most pilot whale sightings are expected to be long-finned pilot whales (Figure 1). In addition, short-finned pilot whales are documented along the continental shelf and continental slope in the northern Gulf of Mexico (Hansen *et al.* 1996; Mullin and Hoggard 2000; Mullin and Fulling 2003), and they are also known from the wider Caribbean. A May 2011 mass stranding of 23 short-finned pilot whales in the Florida Keys has been considered to be Gulf of Mexico stock whales based on stranding location, yet two tagged and released individuals from this stranding travelled directly into the Atlantic (Wells *et al.* 2013). Studies are currently being conducted at the Southeast Fisheries Science Center to evaluate genetic population structure in short-finned pilot whales. Pending these results, the *Globicephala macrorhynchus* population occupying U.S. Atlantic waters is considered separate from both the northern Gulf of Mexico stock and short-finned pilot whales occupying Caribbean waters.

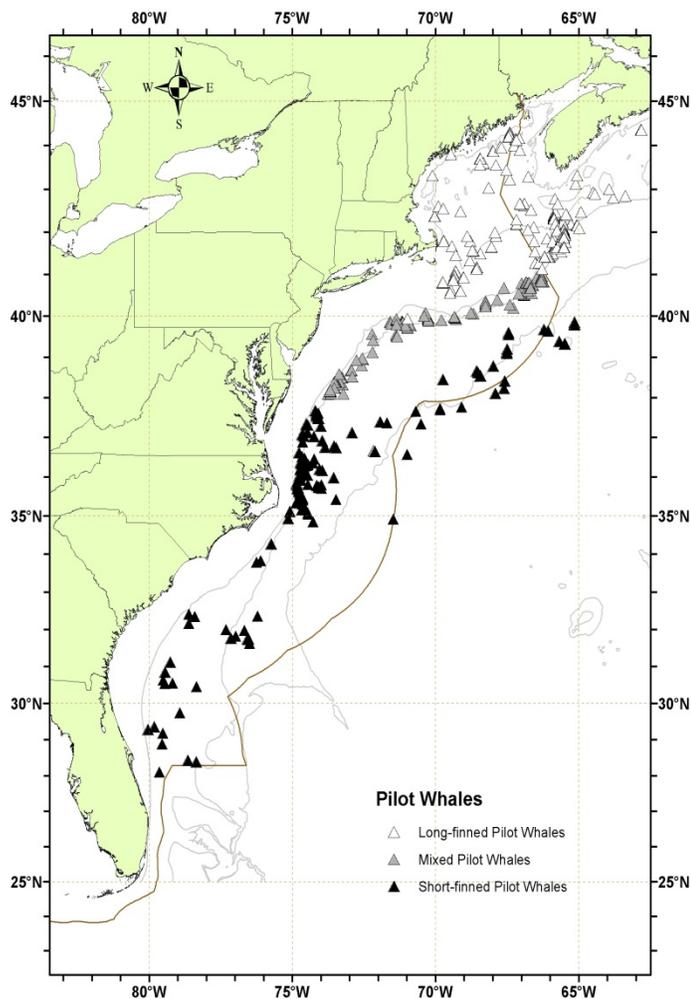


Figure 1. Distribution of long-finned (open symbols), short-finned (black symbols), and possibly mixed (gray symbols; could be either species) pilot whale sightings from NEFSC and SEFSC shipboard and aerial surveys during the summers of 1998, 1999, 2002, 2004, 2006, 2007 and 2011. The inferred distribution of the two species is preliminary and is valid for June-August only. Isobaths are the 100-m, 1,000-m, and 4,000-m depth contours.

## POPULATION SIZE

The best available estimate for short-finned pilot whales in the western North Atlantic is 21,515 (CV=0.37; Table 1). This estimate is from summer 2011 surveys covering waters from central Florida to the lower Bay of Fundy. Sightings from vessel and aerial surveys were strongly concentrated along the continental shelf break; however, pilot whales were also observed over the continental slope in waters associated with the Gulf Stream (Figure 1). The best available abundance estimates are from aerial and shipboard surveys conducted during the summer of 2011 because these are the most recent surveys covering the full range of pilot whales in U.S. Atlantic waters. Because long-finned and short-finned pilot whales are difficult to distinguish at sea, sightings data are reported as *Globicephala* sp. These survey data have been combined with an analysis of the spatial distribution of the 2 species based on genetic analyses of biopsy samples to derive separate abundance estimates (NMFS unpublished data; see below).

## Earlier Estimates

Please see appendix IV for a summary of abundance estimates including earlier estimates and survey descriptions. Due to changes in survey methodology, these historical data should not be used to make comparisons with more current estimates. In addition, as recommended in the GAMMS II Workshop Report (Wade and Angliss 1997), estimates older than 8 years are deemed unreliable for the determination of a current PBR.

## Recent surveys and abundance estimates for *Globicephala* sp.

An abundance estimate of 11,865 (CV=0.57) *Globicephala* sp. was generated from aerial and shipboard surveys conducted during June-August 2011 between central Virginia and the lower Bay of Fundy. The aerial portion covered 6,850 km of tracklines over waters north of New Jersey between the coastline and the 100-m depth contour through the U.S. and Canadian Gulf of Maine, and up to and including the lower Bay of Fundy. Pilot whales were not observed during the aerial portion of the survey. The shipboard portion covered 3,811 km of tracklines between central Virginia and Massachusetts in waters deeper than the 100-m depth contour out to beyond the U.S. EEZ. Both sighting platforms used a double-platform data collection procedure, which allows estimation of abundance corrected for perception bias of the detected species (Laake and Borchers 2004). Estimation of the abundance was based on the independent observer approach assuming point independence (Laake and Borchers 2004) and calculated using the mark-recapture distance sampling option in the computer program Distance (version 6.0, release 2, Thomas *et al.* 2009). The vessel portion of this survey included habitats where both short-finned and long-finned pilot whales occur. The estimated abundance of short-finned pilot whales from this survey was 4,569 (CV=0.57).

An abundance estimate of 16,946 (CV=0.43) *Globicephala* sp. was generated from a shipboard survey conducted concurrently (June-August 2011) in waters between central Virginia and central Florida. This shipboard survey included shelf-break and inner continental slope waters deeper than the 50-m depth contour within the U.S. EEZ. The survey employed two independent visual teams searching with 25x150 “bigeye” binoculars. A total of 4,445 km of tracklines was surveyed, yielding 290 cetacean sightings. The majority of sightings occurred along the continental shelf break north of Cape Hatteras, North Carolina, with a lower number of sightings over the continental slope in the southern portion of the survey. Estimation of the abundance was based on the independent observer approach assuming point independence (Laake and Borchers 2004) and calculated using the mark-recapture distance sampling option in the computer program Distance (version 6.0, release 2, Thomas *et al.* 2009). This survey included habitats that are expected to exclusively contain short-finned pilot whales.

## Spatial Distribution and Abundance Estimates for *Globicephala macrorhynchus*

Pilot whale biopsy samples were collected during summer months (June-August) from South Carolina to the southern flank of Georges Bank between 1998 and 2007. These samples were identified to species using genetic analysis of mitochondrial DNA sequences. A portion of the mtDNA genome was sequenced from each biopsy sample collected in the field, and genetic species identification was performed through phylogenetic reconstruction of the haplotypes. Samples from stranded specimens that were morphologically identified to species were used to assign clades in the phylogeny to species and thereby identify all survey samples. The probability of a sample being from a short-finned (or long-finned) pilot whale was evaluated as a function of sea surface temperature and water depth using logistic regression. This analysis indicated that the probability of a sample coming from a short-finned pilot whale was near 0 at water temperatures <22°C, and near 1 at temperatures >25°C. The probability of a short-finned pilot whale also increased with increasing water depth. Spatially, during summer months, this regression model predicts that all pilot whales observed in offshore waters near the Gulf Stream are most likely short-finned pilot whales. The area of overlap between the 2 species occurs primarily along the shelf break off the coast of New Jersey between 38°N and 40°N latitude. This model was used to partition the abundance estimates from surveys

conducted during the summer of 2011. The sightings from the southeast shipboard survey covering waters from Florida to central Virginia were predicted to consist entirely of short-finned pilot whales. The aerial portion of the northeast surveys covered the Gulf of Maine and the Bay of Fundy where the model predicted that only long-finned pilot whales would occur, but no pilot whales were observed. The vessel portion of the northeast survey recorded a mix of both species along the shelf break, and the sightings in offshore waters near the Gulf Stream were predicted to consist predominantly of short-finned pilot whales. The best abundance estimate for short-finned pilot whales is thus the sum of the southeast survey estimate (16,946; CV=0.43) and the estimated number of short-finned pilot whales from the northeast vessel survey (4,569; CV=0.57). The best available abundance estimate is thus 21,515 (CV=0.37).

Month/Year	Area	$N_{best}$	CV
Jun-Aug 2011	central Virginia to Lower Bay of Fundy	4,569	0.57
Jun-Aug 2011	central Florida to central Virginia	16,946	0.43
Jun-Aug 2011	central Florida to lower Bay of Fundy (COMBINED)	21,515	0.37

#### Minimum Population Estimate

The minimum population estimate is the lower limit of the two-tailed 60% confidence interval of the log-normally distributed best abundance estimate. This is equivalent to the 20th percentile of the log-normal distribution as specified by Wade and Angliss (1997). The best estimate of abundance for western North Atlantic *Globicephala macrorhynchus* is 21,515 animals (CV=0.37). The minimum population estimate is 15,913.

#### Current Population Trend

A trend analysis has not been conducted for this stock. There are 2 abundance estimates for *Globicephala* spp. from summer 1998 (14,909; CV=0.26) and summer 2004 surveys (31,139; CV=0.27), and 1 abundance estimate of *G. macrorhynchus* from summer 2011 surveys (21,515; CV=0.37). Because the 1998 and 2004 surveys did not derive separate abundance estimates for each pilot whale species, comparisons to the 2011 estimate are inappropriate.

#### CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Current and maximum net productivity rates are unknown for this stock. For purposes of this assessment, the maximum net productivity rate was assumed to be 0.04. This value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive life history (Barlow *et al.* 1995).

#### POTENTIAL BIOLOGICAL REMOVAL

Potential Biological Removal (PBR) is the product of minimum population size, one-half the maximum productivity rate, and a “recovery” factor (MMPA Sec. 3. 16 U.S.C. 1362; Wade and Angliss 1997). The minimum population size for short-finned pilot whales is 15,913. The maximum productivity rate is 0.04, the default value for cetaceans. The “recovery” factor is 0.5 because the stock's status relative to OSP is unknown and the CV of the average mortality estimate is less than 0.3 (Wade and Angliss 1997). PBR for the western North Atlantic short-finned pilot whale is 159.

#### ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

The estimated mean annual fishery-related mortality and serious injury during 2009–2013 due to the pelagic longline fishery was 148 short-finned pilot whales (CV=0.20; Table 2). The total annual fishery-related mortality and serious injury for this stock during 2009–2013 is unknown because in addition to observed takes in the pelagic longline fishery, there was a self-reported take in the unobserved hook and line fishery during 2013. Because the hook and line fishery is unobserved, an estimate of total bycatch cannot be made.

All bycatch from the pelagic longline fishery in the Atlantic was assigned to the short-finned pilot whale stock. The highest bycatch rates of undifferentiated pilot whales in the pelagic longline fishery were observed during

September–November along the mid-Atlantic coast (Garrison 2007). Biopsy samples and photo-identification data collected during October–November 2011 in this region indicated that all of the animals observed within the region of pelagic longline bycatch during these months were short-finned pilot whales (NMFS unpublished data). During the remainder of the year, pilot whale bycatch in the pelagic longline fishery was likewise restricted to waters where short-finned pilot whales are expected to occur almost exclusively. Therefore, it is likely that the bycatch of pilot whales in the pelagic longline fishery is restricted to short-finned pilot whales.

In bottom trawl, mid-water trawl, and gillnet fisheries, mortalities are more generally observed north of 40°N latitude and in areas expected to have a higher proportion of long-finned pilot whales. Takes and bycatch estimates for these fisheries are attributed to the long-finned pilot whale stock.

### Fishery Information

The commercial fisheries that interact, or that potentially could interact, with this stock in the Atlantic Ocean are the Category I Atlantic Ocean, Caribbean, Gulf of Mexico large pelagic longline; Atlantic Highly Migratory Species (high seas longline); and northeast sink gillnet fisheries; the Category II northeast bottom trawl; northeast mid-water trawl (including pair trawl); mid-Atlantic mid-water trawl; and mid-Atlantic bottom trawl fisheries; and the Category III U.S. Atlantic tuna purse seine fishery and the Atlantic portion of the Atlantic Ocean, Gulf of Mexico, Caribbean commercial passenger fishing vessel (hook and line) fishery. All recent gillnet and trawl interactions have been assigned to long-finned pilot whales using model-based predictions. Detailed fishery information is reported in Appendix III.

### Earlier Interactions

See Appendix V for information on historical takes.

### Pelagic Longline

Most of the estimated marine mammal bycatch in the U.S. pelagic longline fishery was recorded in U.S. Atlantic EEZ waters between South Carolina and Cape Cod (Garrison 2007). Pilot whales are frequently observed to feed on hooked fish, particularly big-eye tuna (NMFS unpublished data). Between 1992 and 2013, 226 pilot whales were observed released alive, including 136 that were considered seriously injured, and 6 mortalities were observed (Johnson *et al.* 1999; Yeung 2001; Garrison 2003, 2005; Garrison and Richards 2004; Fairfield Walsh and Garrison 2006; Fairfield-Walsh and Garrison 2007; Fairfield and Garrison 2008; Garrison *et al.* 2009; Garrison and Stokes 2010, 2012a,b, 2013, 2014). January–March bycatch was concentrated on the continental shelf edge northeast of Cape Hatteras, NC. Bycatch was recorded in this area during April–June, and takes also occurred north of Hydrographer Canyon (southeast of Nantucket) in water over 1,000 fathoms (1830 m) deep during April–June. During the July–September period, takes occurred on the continental shelf edge east of Cape Charles, Virginia, and on Block Canyon slope (due south of Narragansett Bay) in over 1,000 fathoms of water. October–December bycatch occurred between the 20- and 50-fathom (37- and 92-m) isobaths between Barnegat Bay, NJ and Cape Hatteras, NC.

Available seasonal biopsy data and genetic analyses indicate that recent pilot whale bycatch in the pelagic longline fishery is restricted to short-finned pilot whales. See Table 2 for bycatch estimates and observed mortality and serious injury for the current 5-year period, and Appendix V for historical bycatch information.

Table 2. Summary of the incidental mortality and serious injury of short-finned pilot whales ( <i>Globicephala macrorhynchus</i> ) by the pelagic longline commercial fishery including the years sampled (Years), the number of vessels active within the fishery (Vessels), the type of data used (Data Type), the annual observer coverage (Observer Coverage), the observed mortalities and serious injuries recorded by on-board observers, the estimated annual mortality and serious injury, the combined annual estimates of mortality and serious injury (Estimated Combined Mortality), the estimated CV of the combined estimates (Estimated CVs) and the mean of the combined estimates (CV in parentheses).											
Fishery	Years	Vessels <sup>a</sup>	Data Type <sup>b</sup>	Observer Coverage <sup>c</sup>	Observed Serious Injury	Observed Mortality	Estimated Serious Injury	Estimated Mortality	Estimated Combined Mortality	Est. CVs	Mean Annual Mortality
Pelagic Longline	09-13	75,80, 83,82, 79	Obs. Data Logbook	.10, .08, .09, .07, .09	5, 5, 18, 14, 13	0, 0, 1, 0, 0	17, 127, 286, 170, 124	0, 0, 19, 0, 0	17, 127, 305, 170, 124	.70, .78, .29, .33, .32	148 (.20)

<sup>a</sup> Number of vessels in the fishery is based on vessels reporting effort to the pelagic longline logbook.  
<sup>b</sup> Observer data (Obs. Data) are used to measure bycatch rates and the data are collected within the Northeast Fisheries Observer Program (NEFOP) and the Southeast Pelagic Longline Observer Program.  
<sup>c</sup> Proportion of sets observed

### Hook and Line

During 2009–2013, there was 1 self-reported take (in 2013) in which a short-finned pilot whale was hooked and entangled by a charterboat fisherman off Cape Hatteras. The animal was released alive and considered seriously injured (Maze-Foley and Garrison in prep).

### Other Mortality

Pilot whales have a propensity to mass strand throughout their range, but the role of human activity in these events is unknown. Between 2 and 168 pilot whales have stranded annually, either individually or in groups, along the eastern U.S. seaboard since 1980 (NMFS 1993, stranding databases maintained by NMFS NER, NEFSC and SEFSC). From 2009–2013, 44 short-finned pilot whales (*Globicephala macrorhynchus*), 34 long-finned pilot whales (*Globicephala melas melas*), and 6 pilot whales not specified to the species level (*Globicephala* sp.) were reported stranded between Maine and Florida, including the Exclusive Economic Zone (EEZ) (Table 3).

Table 3. Pilot whale (*Globicephala macrorhynchus* [SF], *Globicephala melas melas* [LF] and *Globicephala* sp. [Sp]) strandings along the Atlantic coast, 2009–2013. Strandings which were not reported to species have been reported as *Globicephala* sp. The level of technical expertise among stranding network personnel varies, and given the potential difficulty in correctly identifying stranded pilot whales to species, reports to specific species should be viewed with caution.

STATE	2009			2010			2011			2012			2013			TOTALS		
	SF	LF	Sp	SF	LF	Sp	SF	LF	Sp	SF	LF	Sp	SF	LF	Sp	SF	LF	Sp
Nova Scotia <sup>a</sup>	0	0	15	0	0	11	0	0	19	0	0	3	0	15	0	0	15	48
Newfoundland and Labrador <sup>b</sup>	0	0	1	0	0	1	0	0	8	0	0	6	0	1	1	0	1	17
Maine	0	3	0	0	0	0	0	1	0	0	1	0	0	0	0	0	5	0
Massachusetts <sup>c</sup>	0	4	0	0	2	0	3	4	0	0	3	0	0	3	0	3	16	0
Rhode Island	0	2	0	0	0	0	0	2	0	0	0	0	0	0	0	0	4	0
New York	0	1	0	0	0	0	0	1	0	0	1	0	0	2	0	0	5	0
New Jersey	1	1	0	0	0	0	1	0	1	0	0	0	0	1	0	2	2	1
Maryland	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0
Virginia <sup>d</sup>	0	0	0	0	0	2	0	0	0	0	1	0	0	0	0	0	1	2
North Carolina <sup>d</sup>	2	0	0	1	0	0	1	0	0	1	0	0	0	0	0	5	0	0
South Carolina <sup>d</sup>	0	0	0	0	0	1	0	0	0	3	0	1	1	0	1	4	0	3
Florida <sup>e</sup>	0	0	0	4	0	0	2	0	0	23	0	0	0	0	0	29	0	0
<b>TOTALS - U.S. &amp; EEZ</b>	<b>4</b>	<b>11</b>	<b>0</b>	<b>5</b>	<b>2</b>	<b>3</b>	<b>7</b>	<b>8</b>	<b>1</b>	<b>27</b>	<b>6</b>	<b>1</b>	<b>1</b>	<b>7</b>	<b>1</b>	<b>44</b>	<b>34</b>	<b>6</b>

<sup>a</sup> Data supplied by Nova Scotia Marine Animal Response Society (pers. comm.). Strandings in 2011 include one mass stranding of 6-8 whales (one of which died) and 2 animals with ropes tied around their tail stocks. Strandings in 2013 include one fishery entanglement (bait net) and one mass stranding of 4 animals.

<sup>b</sup> (Ledwell and Huntington 2009; 2010; 2011; 2012a,b; 2013). 2011 included 2 mom/calf pairs. Not included in 2011 total was group of 6 pilot whales shepherded out of a narrow channel.

<sup>c</sup> One of the 2009 animals was classified as a fishery interaction.

<sup>d</sup> Signs of fishery interactions were observed on one short-finned pilot whale stranded in 2010 and one stranded in 2011, both in North Carolina. Signs of fishery interaction were observed on one short-finned pilot whale in North Carolina and two in South Carolina in 2012. A mass stranding of 3 whales occurred in South Carolina in 2012.

<sup>e</sup> A mass stranding of 3 whales occurred in 2010, and a mass stranding of 2 whales in 2011.

Short-finned pilot whale strandings (*Globicephala macrorhynchus*) have been reported as far north as Block Island, Rhode Island (2001), and Cape Cod, Massachusetts (2011), although the majority of the strandings occurred from North Carolina southward (Table 3). Long-finned pilot whales (*Globicephala melas*) have been reported stranded as far south as Florida, where 2 long-finned pilot whales were reported stranded in November 1998, though their flukes had been apparently cut off, so it is unclear where these animals actually may have died. One additional long-finned pilot whale stranded in South Carolina in 2003, though the confidence in the species identification was only moderate. This animal has subsequently been sequenced and mitochondrial DNA analysis supports the long-finned pilot whale identification.

During 2009–2013, several human and/or fishery interactions were documented in stranded pilot whales within the U.S. and EEZ. A short-finned pilot whale stranded in North Carolina in 2010 had evidence of longline interaction. In 2011, a short-finned pilot whale in North Carolina was classified as a fishery interaction and a short-finned pilot whale in New Jersey was found with a healed but abscessed bullet wound. In 2012, 3 short-finned pilot whales had evidence of fishery interaction, two of them in South Carolina and one in North Carolina. During 2013 no evidence of human interactions was documented for stranded pilot whales.

Stranding data probably underestimate the extent of human and fishery-related mortality and serious injury, particularly for offshore species such as pilot whales, because not all of the whales that die or are seriously injured in human interactions wash ashore, or, if they do, they are not all recovered (Peltier *et al.* 2012; Wells *et al.* 2015). Additionally, not all carcasses will show evidence of human interaction, entanglement or other fishery-related interaction due to decomposition, scavenger damage, etc. (Byrd *et al.* 2014). Finally, the level of technical expertise among stranding network personnel varies widely as does the ability to recognize signs of human interaction.

## HABITAT ISSUES

A potential human-caused source of mortality is from polychlorinated biphenyls (PCBs) and chlorinated pesticides (DDT, DDE, dieldrin, etc.), moderate levels of which have been found in pilot whale blubber (Taruski *et al.* 1975; Muir *et al.* 1988; Weisbrod *et al.* 2000). Weisbrod *et al.* (2000) reported that bioaccumulation levels were more similar in whales from the same stranding group than in animals of the same sex or age. Also, high levels of toxic metals (mercury, lead, cadmium) and selenium were measured in pilot whales harvested in the Faroe Island drive fishery (Nielsen *et al.* 2000). Similarly, Dam and Bloch (2000) found very high PCB levels in pilot whales in the Faroes. The population effect of the observed levels of such contaminants is unknown.

## STATUS OF STOCK

The short-finned pilot whale is not listed as threatened or endangered under the Endangered Species Act, and the western North Atlantic stock is not considered strategic under the Marine Mammal Protection Act. The 2009–2013 average annual human-related mortality and serious injury does not exceed PBR. Total U.S. fishery-related mortality and serious injury attributed to short-finned pilot whales exceeds 10% of the calculated PBR and therefore cannot be considered to be insignificant and approaching zero mortality and serious injury rate. The status of this stock relative to OSP in the U.S. Atlantic EEZ is unknown. There are insufficient data to determine the population trends for this stock.

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