

**NOAA  
FISHERIES**

Office of Science  
and Technology  
Silver Spring, MD

# Observer Data Supports Sustainable U.S. Fisheries

Liz Chilton

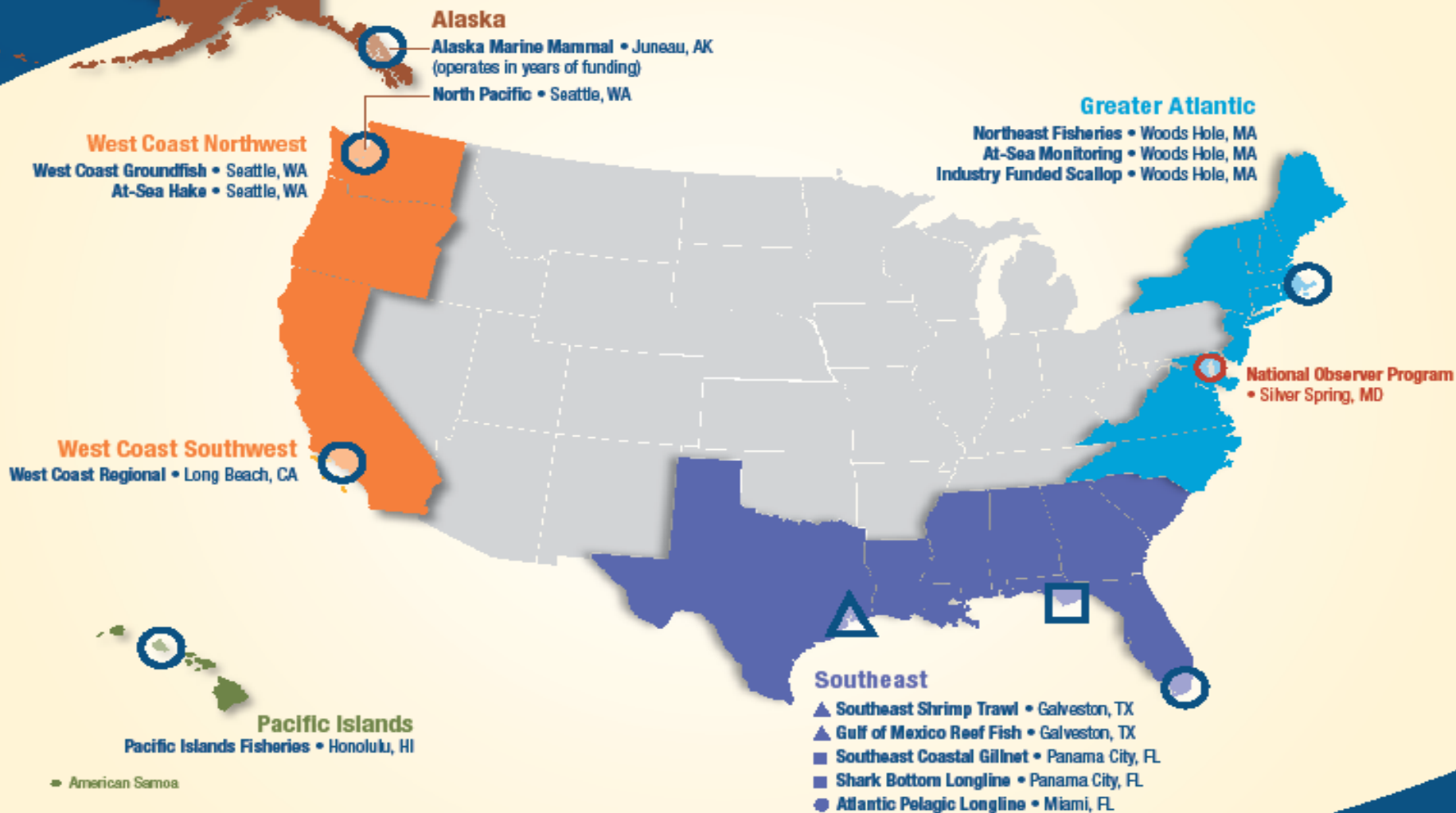
National Observer Program Coordinator  
Office of Science and Technology

Council Member Training

October 17, 2019



# U.S. Observer Programs



# National Observer Program

## Mission

**Provide a formalized mechanism for NOAA Fisheries to address observer issues of national importance and develop policies and procedures to ensure that NOAA Fisheries observers and observer programs are fully supported. The policies must reflect the diverse needs of regional observer programs while enhancing data quality and achieving consistency in key areas of national importance.**

## Objectives

- **Coordinate the National Observer Program Advisory Team (NOPAT)**
- **Communicate and advocate the mission of the National Observer Program and each regional observer program**
- **Develop and support national standards and policies to create high quality, cost effective, efficient, and productive observer programs**
- **Characterize and qualify the activities and resources of NOAA Fisheries observer programs and advocate for full support**

# Responsibilities of National Observer Program

## Advisory Team (Steering Committee)

- Sets overall priorities, policies, and budget

## Safety Advisory Committee

- Provides guidance to the NOPAT to ensure the well-being and safety of observers

## Program Management Office

- Manages day-to-day operations, policy development, budgets, briefings.
- Chairs/Co-Chairs:
  - Electronic Technologies Working Group
  - National Bycatch Report Steering Committee
  - National Observer Program Advisory Team

**Deploy 950 observers / >75,300 sea days / 53 fisheries**

# Regional Observer Program Responsibilities

- Sampling protocols and observer coverage levels
- Safety training
- Observer deployment
- Observer debriefing
- Data management
- Data analysis



# Responsibilities of Deployed Observers

## Collect fishery dependent data

- Fishing effort, gear type and location
- Biological samples (length, sex, maturity and age structures)
- Supports in season management of fisheries

## Monitor fishing activities and support vessel safety compliance

- Magnuson-Stevens Act (MSA)
- Marine Mammal Protection Act (MMPA)
- Endangered Species Act (ESA)
- US Coast Guard commercial fishing vessel regulations



# Commercial Fishing Effort Data

For every observed haul/set collect:

- Date and time of fishing activity
- Latitude and longitude of gear deployment and retrieval
- Depth of catch
- Gear type and mesh measurements
- Vessel characteristics
  - Type, permit number, length
- Vessel catch estimates



# Observer At-Sea Duties

- Samples from incidentally caught marine mammals and endangered seabirds (MMPA and ESA monitoring)
- Fishing effort and total catch estimates
- Species composition on individual hauls/sets
- Discarded catch data (Bycatch)
  - Non-target species
  - Prohibited species





# Biological Sampling

- Sex and Length
  - Random sample of fish from within species composition sample
  - Sample size dependent on predominant species and area
- Age (otoliths or vertebrate)
  - Random sample from within sex and length sample
  - Sample size dependent on predominant species and area



# Observer Coverage and Deployment

- Coverage rates vary by regional program and funding availability
- Deployment through government contract or directly with Industry
- Each deployment length is variable
  - Multiple gear types (fixed gear, trawl gear, mesh size)
  - Vessel size (<30 to >200 Ft LOA)



# Atlantic Ocean and Gulf of Mexico 2019 coverage



# North Pacific (Alaska) 2019 coverage

**Bering Sea/Aleutian Islands/  
Gulf of Alaska cooperatives: 100%**



**Bering Sea/Aleutian Islands/  
Gulf of Alaska Groundfish & Halibut  
Trawl/Longline/Pot: 17-30%**



# Pacific Coast and W Pacific 2019 coverage

## West Coast Trawl Catch Shares

100%

## West Coast Groundfish Non-Catch Share Fisheries

Approx. 3-8, 40%, based on permit type

## Hawaii/American Samoa

**Pelagic Longline:** HI, 20% for  
tuna, & 100% for swordfish  
American Samoa, 20% tuna

## CA Pelagic Longline (3 vessel): 100%

**CA Large-Mesh Drift Gillnet:** 19%

**CA Deep Set Bouy (EFP):** 40%







**NOAA**  
**FISHERIES**

# Electronic Technologies in U.S. Fisheries

Brett Alger  
Electronic Technologies Coordinator  
Office of Science and Technology

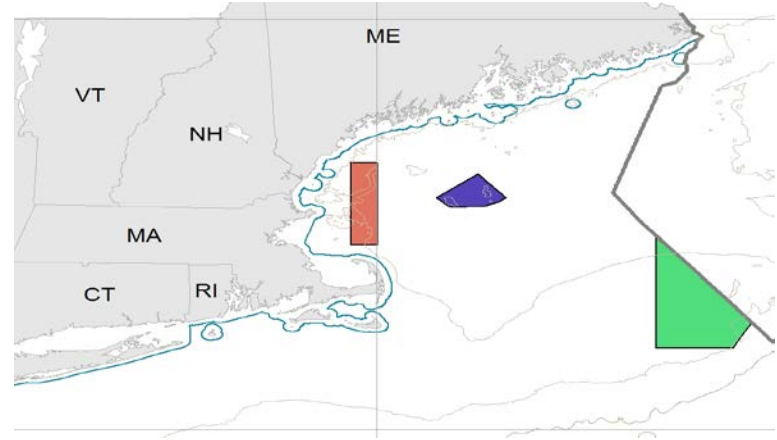
October 17, 2019  
Council Member Training



# VMS Program in U.S. Fisheries

## Program Basics

- > 4,000 vessels
- Satellite-based communications
- Operates all the time, in real-time
- Location, hail in/out, catch reporting
- Data confidentiality requirements



## How it is used

- Monitoring closed areas and transiting
- Effort and quota monitoring
- Validating other data sources
- Port arrivals for sampling programs



# Observer Technologies in U.S Fisheries

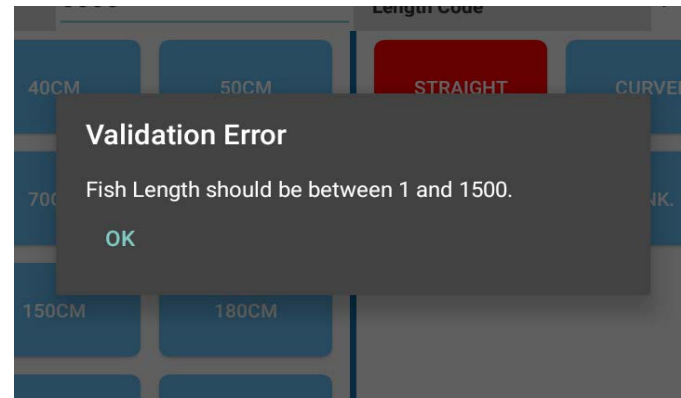
## Existing Technologies

- Efficient, timely, and legible
- Customization and adaptation
- Auto-calculation and sync to databases
- Front-end error checks and validation



## Future Development

- Barcoding and GPS integration
- Application-driven sampling
- Bluetooth with scales
- Species ID and image collection



# Electronic Reporting in U.S. Fisheries

## Commercial and For-Hire Fisheries

- Vessels, processors, and dealers
- Long history of paper programs
- Councils - NE, MA, SA, GM, WP, & Pac
- Different requirements and participation
  - NE ~200 (out of 2,100) all commercial vessels
  - SE ~500 (out of 840) Gulf of Mexico snapper/grouper
  - PI ~10 (out of 165) Hawaii deep-set longline

**FISHING VESSEL TRIP REPORT**

NOAA Form No. 350  
OAR 50.106-0122  
Expires 1/31/2018

VTR Serial Number: 12345678

**DID NOT FISH**  
Start Date: MM/DD/YY End Date: MM/DD/YY

1. Vessel Name: 2. USCG Documentation or State Registration: 3. NMFS Vessel Permit Number:

4. Date and Time Sailed: 5. Date and Time Landings:  
Date: MM/DD/YY Military Time: HH:MM Date: MM/DD/YY Military Time: HH:MM

6. Trip Type - check one box and record the number of crew including the captain. Party/Charter must also include the number of anglers.  
☐ Commercial: # of Crew: # of Anglers: ☐ Party: # of Anglers: ☐ Charter: # of Anglers: ☐ Dealer: # of Anglers:

**COMPLETE A NEW FORM FOR EACH DIFFERENT CHART AREA, GEAR TYPE OR MESHING SIZE USED ON A TRIP.**

7. Chart Area: 8. Mesh/Wing Size: 9. Gear Quantity: 10. Gear Size: 11. Hauling Depth (feet): 12. Number of Hauls:

13. Latitude: 14. Longitude: 15. Time / Soak Time:

16. Species Code: 17. Species Name: 18. Dealer Name: 19. Dealer Permit Number: 20. Dealer City: 21. Date: MM/DD/YY: 22. Offloading Port for each species: 23. State:

24. Operator Permit Number: 25. Operator Name: 26. Operator Signature: 27. Date: MM/DD/YY

## Recreational Fisheries

- Data \*must\* be validated
- Angler \*must\* participate
- Participants \*must\* report all catch from all trips





# U.S. Electronic Monitoring Programs

Electronic monitoring (EM) is being piloted and implemented across the U.S. to expand and improve fisheries-dependent data collection, while reducing costs and increasing the timeliness of information. EM is used to audit logbook data, monitor compliance with discard requirements, and collect information on discards and bycatch. The programs on this map are listed in three categories: implemented and under regulation (**bold**); pre-implementation, under an exempted fishing permit (EFP), and/or being considering by their respective Fishery Management Council (*plain*); and pilot projects (*italics*).

For more information, visit [fisheries.noaa.gov/national/fisheries-observers/electronic-monitoring](https://fisheries.noaa.gov/national/fisheries-observers/electronic-monitoring).

## Alaska

- Bering Sea and Aleutian Island (BSAI) Non-Pollock Trawl Catcher/Processor (C/P)
- Bering Sea Pollock Trawl C/P and Motherships
- Central Gulf of Alaska Rockfish Trawl C/P
- BSAI Pacific Cod Longline C/P
- Small Boat Fixed Gear (Longline and Pot)
- Pollock Trawl Catcher Vessels
- Halibut Deck Sorting Trawl C/P

## West Coast

- Whiting Mid-Water Trawl
- Fixed Gear IFQ
- Non-Whiting Mid-Water Trawl
- Groundfish Bottom Trawl
- Nearshore Rockfish

## Pacific Islands

- Pelagic Longline - Hawaii Deep and Shallow Set

## Greater Atlantic

- Northeast Multispecies
- Herring Mid-Water Trawl
- Northern Gulf of Maine Scallop
- Northeast Multispecies For-Hire

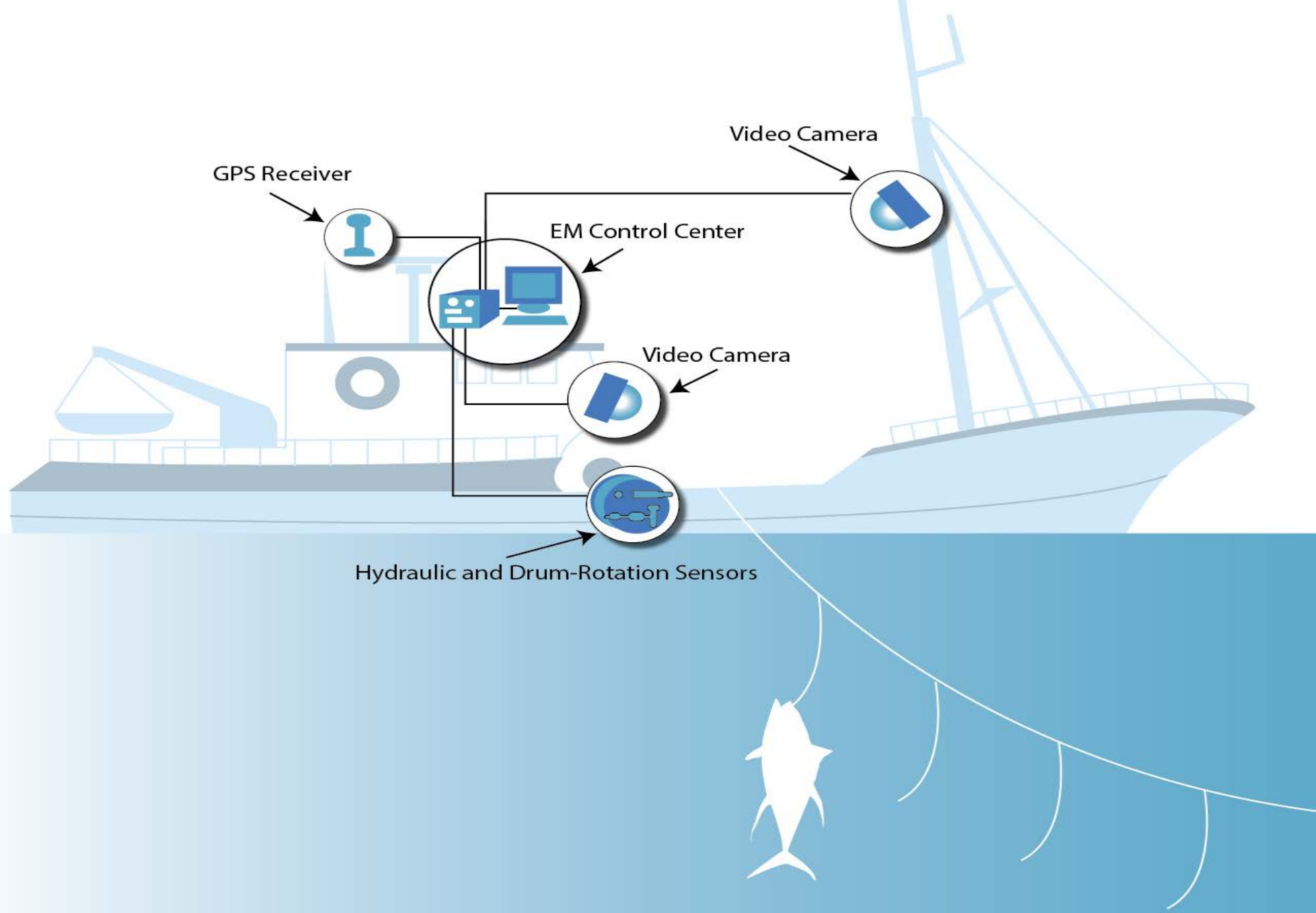
## Atlantic Highly Migratory Species

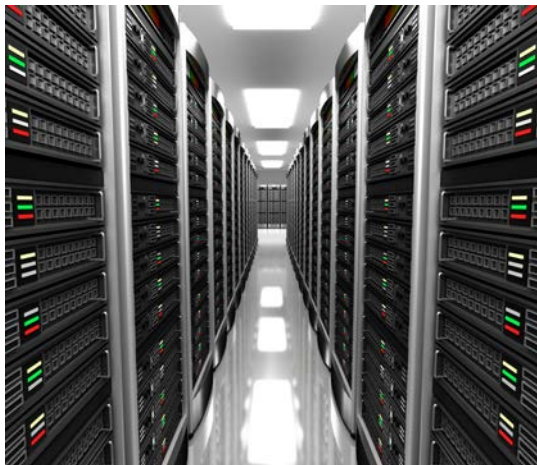
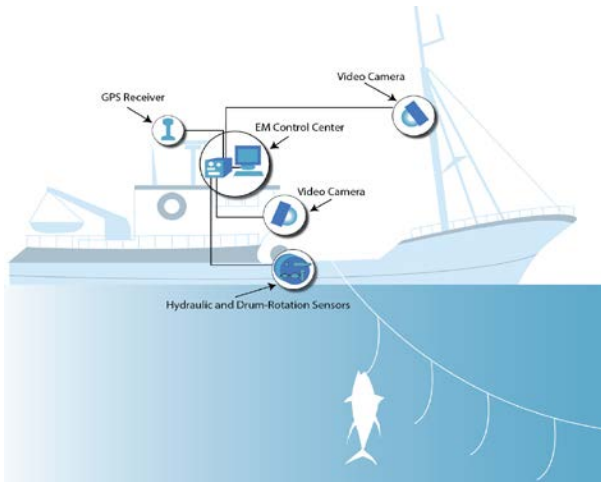
- Pelagic Longline

## Southeast

- Snapper-Grouper







# Video Review - Overview

Videos displayed during presentation

# Applications of Electronic Monitoring

**Scientific data collection** – support stock assessments, bycatch reporting, ecosystem research

**Management** – real-time monitoring (individual vessel quotas, catch limits), auditing logbook reporting

**Compliance monitoring** – verify catch retention, access to closed areas, increased accountability

## Additional Uses

- sustainability certifications
- improved traceability
- value-added products
- data monetization



# Electronic Monitoring Challenges

**Onboard vessel** – Catch handling, species ID, weight estimation

**Costs & logistics** – Transmission, review, and storage

**Regulatory** – Writing regulations for changing technologies

**Data Infrastructure** – New data in older systems

**Analytical** – Developing standards, comparing old with new

**Policy development**

- Access and ownership
- Video retention requirements
- Confidentiality

**Other** – Outreach and communication, scope creep





# Electronic Technologies (ET) Policy Directive

## 2013

- Encourage the adoption of ETs, be effective and efficient, meet all needs
- Consider a combination of technologies, including electronic monitoring (EM)
- Utilize open source code and standards
- NOAA Fisheries will assemble guidance and best practices
- Consider funding options, NMFS and industry to coordinate on costs

## 2019

- Added observer technologies
- Annual updates (rather than biannual)
- New guidance on updating Regional ET plans
- Clarified objectives and definitions

# Procedural Directive on Cost Allocation for EM

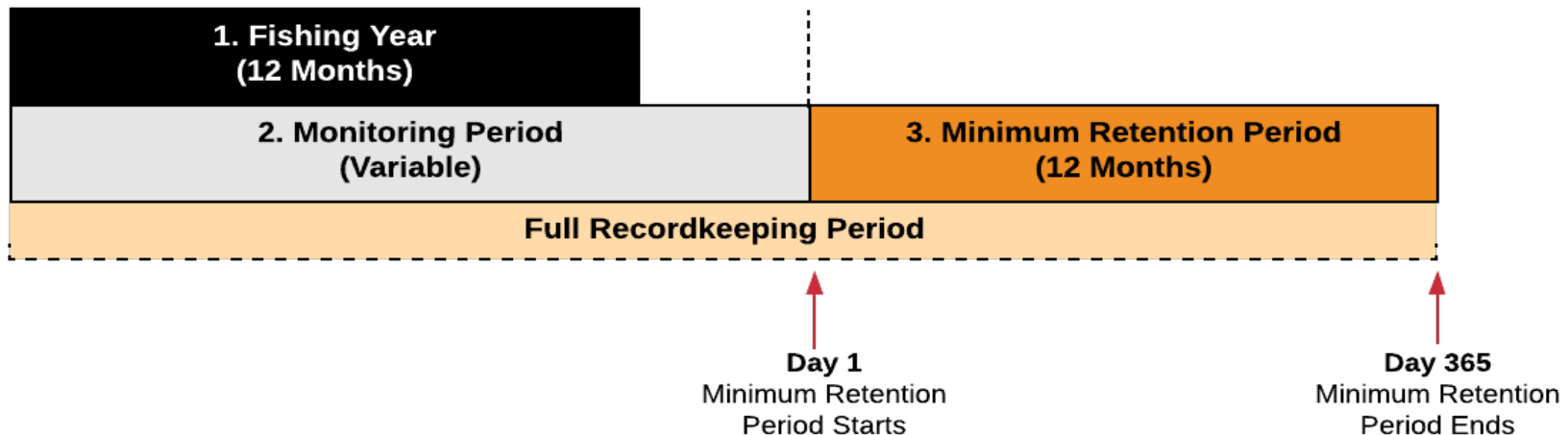
- Sampling – Hardware, video review and storage
- Administrative – Program support, provider certification/auditing, data analysis, and storing Federal records

Function	Cost Responsibility
Sampling	<ul style="list-style-type: none"><li>• Industry</li><li>• NOAA Fisheries - fees collected from industry<ul style="list-style-type: none"><li>- EX: North Pacific landings fee</li></ul></li><li>• NOAA Fisheries for specific Federal programs<ul style="list-style-type: none"><li>- EX: ESA, MMPA, SBRM</li></ul></li></ul>
Administrative	<ul style="list-style-type: none"><li>• NOAA Fisheries</li><li>• NOAA Fisheries - fees collected from industry<ul style="list-style-type: none"><li>- EX: West Coast cost recovery program</li></ul></li></ul>

# Third-Party Data Retention Procedural Directive

- Current – Retained indefinitely
- Future – Procedural directive and guidance to Regions
- Process – Comments due Dec 31, 2019, final policy in 2020

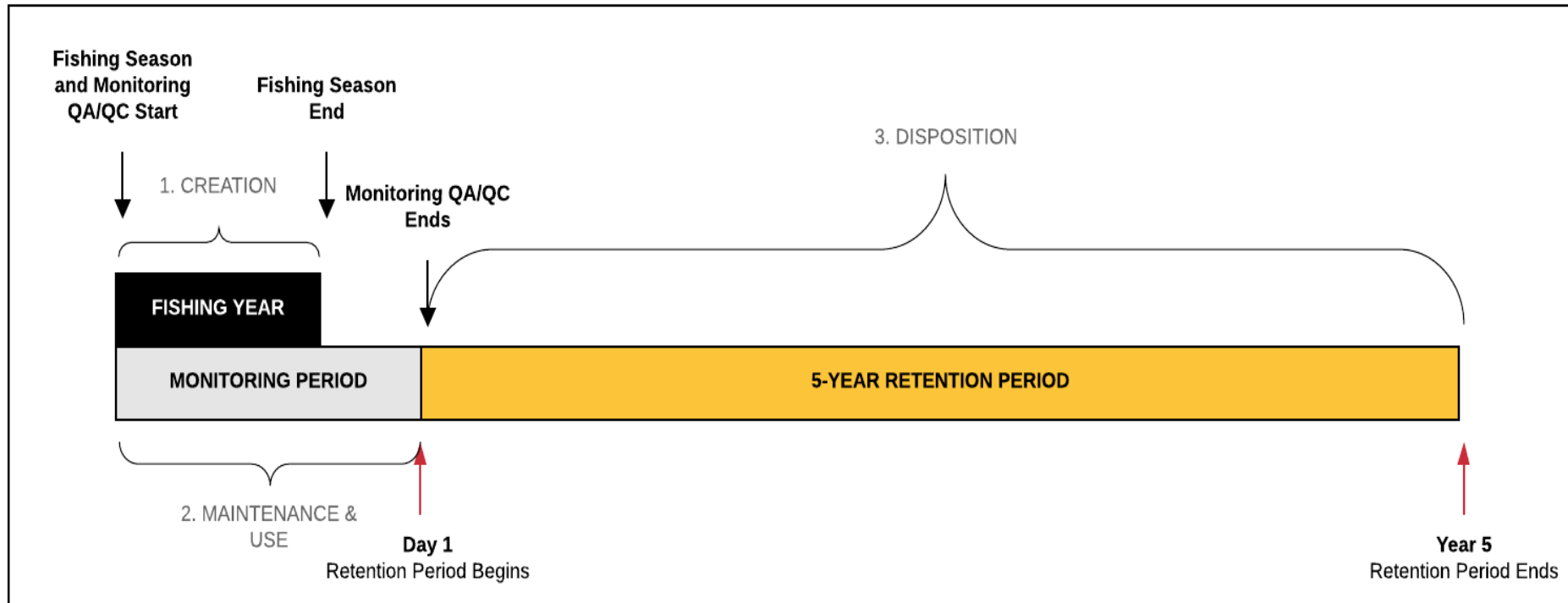
The monitoring period often extends beyond the close of a fishery as data are collected, processed, and analyzed



# Federal Records Retention Schedule

## National Archive and Records Administration (NARA)

- Current - Retain with observer records (indefinitely)
- Future – New retention schedule for video and images
- Process - NARA publishes an FR notice, 45-day public comment period





# Electronic Technologies Progress in 2019

## Policy Development

- Policy Directive for Electronic Technologies (final)
- EM Cost Allocation Procedural Directive (final)
- Third-Party Video Retention Requirements (draft)
- Retention Schedule for Federal Records (draft)

## National EM Guidance and Best Practices

- EM program design
- Minimum standards and best practices
  - Vessel systems
  - Video review and data management
  - Data standardization and uses
- EM case studies
- Status of EM-related policies

# Regional ET Implementation Plans

## Guidance and template out to Regions in June

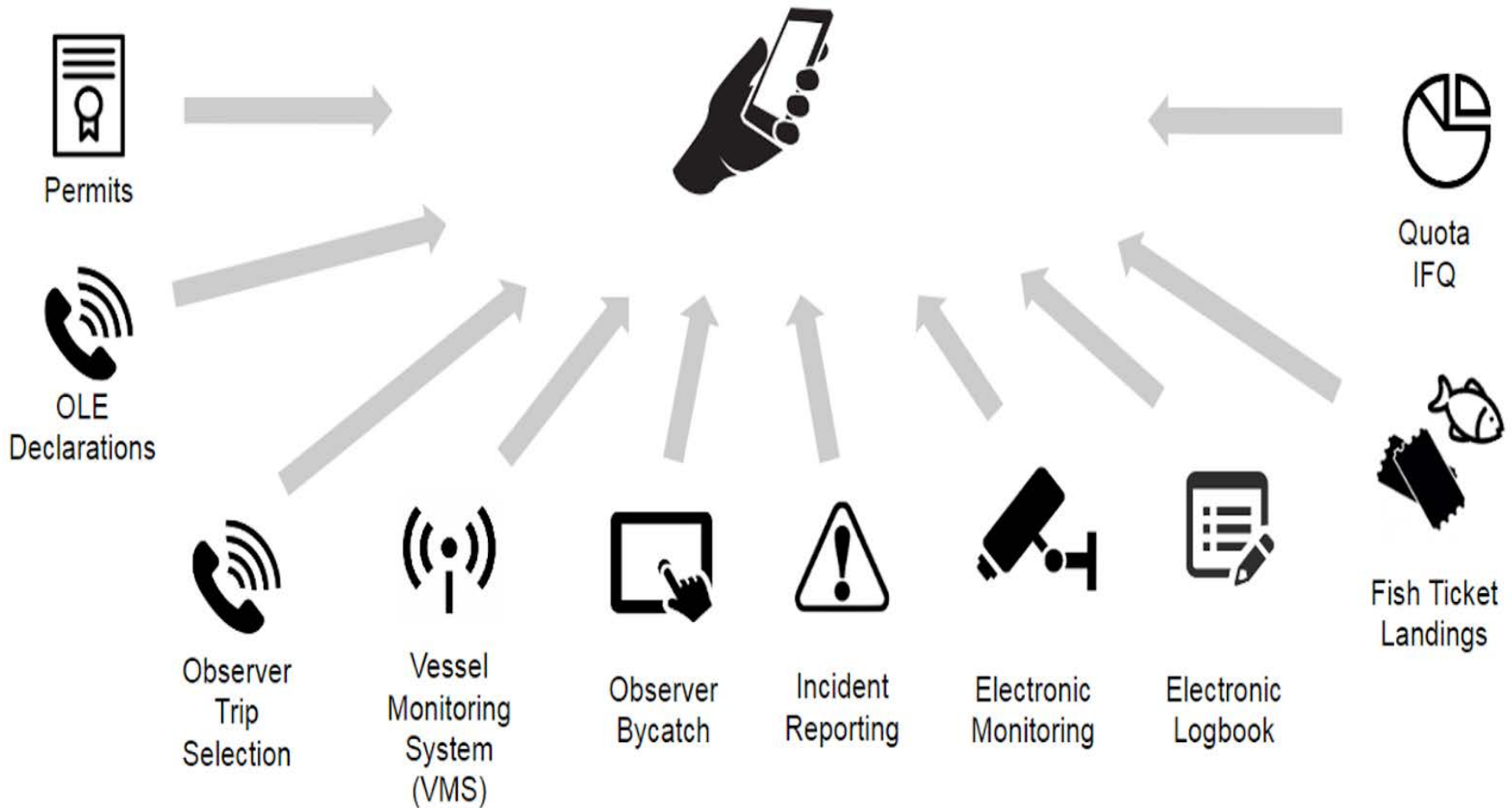
- Regional 5-year vision and priorities
- Council actions, research, development, and pilot projects
- Data integration and interoperability (e.g., One touch reporting)
- Impediments to implementation and resource needs
- Tables to track progress for EM, ER, VMS, observer technology, etc.
- EM costs and transition plans

## Communication and outreach

- NOAA will formally announce this initiative
- Engage and coordinate with all stakeholders
- Encourage cross-regional planning

Final updated ET plans publish in late June 2020

# In the year 2024.....



Brett Alger  
Electronic Technologies Coordinator  
NOAA Fisheries  
[Brett.Alger@noaa.gov](mailto:Brett.Alger@noaa.gov)

*National EM Workshop 3.1  
Portsmouth, NH Nov 13-14, 2019*

*National EM Workshop 3.2  
Seattle, WA Feb 12-13, 2020*

