INTRODUCTION AND BACKGROUND

The North Atlantic right whale (*Eubalaena glacialis*) has been listed as endangered under the Endangered Species Act (ESA) since its passage in 1973, and the National Oceanic and Atmospheric Administration’s National Marine Fisheries Service (NOAA Fisheries) is responsible for the conservation and recovery of the species.

1. Section 4(f) of the ESA requires the preparation and implementation of recovery plans for all listed species.

The revised Recovery Plan for the North Atlantic Right Whale (*Eubalaena glacialis*) was published in August 2004. The recovery plan serves as a basis for recovery efforts, prioritization of research to ensure that new information will contribute toward the greatest research needs, and effective monitoring to allow NOAA Fisheries to track the status of North Atlantic right whales and the factors that may affect them. The ESA authorizes NOAA Fisheries to appoint recovery teams (i.e. implementation teams) to assist in the implementation of recovery plans. This authority was delegated to the Southeast and Northeast Regions for regional right whale implementation teams. The ESA specifically exempts recovery teams from the Federal Advisory Committee Act (FACA), but, otherwise, does not provide specific guidance related to the conduct of recovery teams.

PURPOSE AND OBJECTIVES

The SEIT has been established to assist NOAA Fisheries’ Southeast Regional Office (SERO) in the implementation of the Recovery Plan for the North Atlantic Right Whale and to advise the SERO on issues related to the status and conservation of right whales in the Southeast U.S. (North Carolina to Florida). The objectives of the SEIT are to:

1. Coordinate and effect recovery plan implementation in Southeast U.S. while making efficient use of available resources via recommendations to NOAA Fisheries Service SERO.
2. Involve stakeholders in implementation of the recovery plan.
3. Promote creative solutions.
4. Monitor effectiveness of recovery plan implementation and adapt accordingly.
5. Identify and prioritize information needs that can be best addressed through enhanced partnerships.

Issues related to right whale interactions with commercial fisheries are addressed under the Atlantic Large Whale Take Reduction Team process. However, on occasion, the SEIT may address or implement fisheries-related recovery tasks that have a high priority in the Southeast U.S.

3 ROLES AND RESPONSIBILITIES

NOAA Fisheries has statutory responsibility for implementing the North Atlantic Right Whale Recovery Plan and, therefore, SERO will oversee all SEIT activities. Additionally, the SERO will be responsible for the following: (1) establishing, modifying, and disbanding the implementation team including work groups; (2) establishing and maintaining SEIT and work group sizes to enhance effectiveness; (3) defining team functions and establishing schedules for completing products (with team input and discussion); (4) approving meeting agendas; (5) transmitting team recommendations to other agencies and organizations, as appropriate; and (6) providing logistical support.

NOAA Fisheries will not pay honoraria to members or advisors. NOAA Fisheries SERO will provide administrative support, such as photocopying, procurement of supplies, and expenses related to printing and distributing materials. In addition, NOAA Fisheries SERO may contract for services to the implementation team or to outside experts for specific products or other documents for the team’s use.

3.1 NOAA FISHERIES LIAISON

The NOAA Fisheries liaison is the SERO’s Right Whale Recovery Program Coordinator. The NOAA Fisheries liaison is not a member of the SEIT, but will provide assistance as needed, serve as a conduit for communication between the SEIT and the SERO (in conjunction with the Team Leader), concur on implementation team or subcommittee membership, terms of reference for the team, collaborate with headquarters, regional and science center staff on annual or periodic oversight of the recovery effort, collaborate with the Team Leader on meeting agendas and the Team on recovery tasks on which the SERO seeks input, and generally provide appropriate guidance from SERO to the SEIT. The NOAA Fisheries liaison is not a decision-maker for the team.
3.2 **TEAM LEADER**

The SERO will appoint a team leader for the SEIT. The team leader is a regular member of the implementation team with the following responsibilities: (1) serve as a conduit for communication with the SERO in conjunction with the NOAA Fisheries liaison; (2) facilitate team meetings and coordinate with the NOAA Fisheries liaison on meeting agendas and summaries; (3) work with the team and NOAA Fisheries liaison to identify and recommend priorities for recovery implementation; and (4) keep the team moving forward and making steady progress. As a regular member of the implementation team, the team leader is not a decision-maker for the team.

3.3 **IMPLEMENTATION TEAM**

Although NOAA Fisheries has statutory responsibility for implementing the North Atlantic Right Whale Recovery Plan, the participation of a variety of stakeholders is essential to recovering the species. Therefore, the SEIT will be a multi-disciplinary team representing diverse stakeholder groups with the role of implementing and coordinating the implementation of the North Atlantic Right Whale Recovery Plan in the Southeast U.S. Team size will balance the need to include diverse expertise and experience with the need to optimize manageability.

SEIT members have been appointed by the SERO and have been selected based on professional expertise or experience in the areas of conservation or biology of right whales or threats to right whales which result in the incidental mortality and serious injuries of right whales. SEIT members have also been selected for their diversity of interests, geographic location, communication network, access to resources, capability to work with diverse viewpoints, and commitment to developing creative solutions.

SEIT members have also been appointed based upon their ability to ably represent the views of an important stakeholder group. SEIT members should work to keep their constituencies informed of the SEIT’s efforts and to reporting relevant feedback to the SEIT. In reporting back, SEIT members will strive to integrate the majority views of their constituency. Alternates may not be designated except in the case of members that are harbor pilots (due to unique work-related demands). Consequently, in the case of harbor pilots, one individual will be nominated by the primary team member and must be approved by the SERO Protected Resources Division.
3.4 **WORK GROUPS**

Work groups may be formulated to work on specialized recovery-related topics for which the SEIT members need specific expertise. Work groups may originate in two ways:

1. SERO identifies the need for a work group and consults with the SEIT on potential members using selection criteria in Appendix A.
2. The SEIT identifies the need for a work group, identifies candidate members for the work group using selection criteria in Appendix A, and forwards relevant recommendation to the SERO for consideration.

In either case, work groups would be convened and members appointed after discussions among SERO staff and the implementation team. However, SERO will ultimately be responsible for establishing the work group and appointing work group members. Work group members will be appointed using a similar process as SEIT members and will operate by the same Terms of Reference.

Work groups may meet independent of the entire team in order to facilitate completion of their assigned tasks. As advisors to the implementation team, these work groups would not be subject to FACA. Formation of work groups is encouraged to facilitate completion of recovery tasks and initial drafts of recommendations to be considered by the entire implementation team; however, it’s the implementation team that makes recommendations to NOAA. Work groups may also be used to sift through methodological differences or contradictory information. Work groups may include additional experts who are not members of the core implementation team; however, these experts would serve the work group under the same terms as members of the implementation team (e.g., conflict-of-interest, no payment for services, and etc.). The implementation team may request SERO to contract for specific products to aid the implementation team or work groups.

3.5 **INVITED SUBJECT MATTER EXPERTS**

The SEIT recognizes that subject matter experts may enhance SEIT deliberations. Therefore, subject matter experts may be invited to attend SEIT deliberative sessions that are otherwise closed to the public.

3.6 **OBSERVERS**

The SEIT may elect to allow members of the public to attend SEIT working deliberative sessions. Members of the public that attend SEIT working deliberative sessions may attend as observers. Observers may not participate in SEIT discussions unless invited by
the Team Leader. All observers should refrain from releasing unpublished information, discussions and/or findings of the SEIT in the media or for advocacy purposes.

4  TERMS OF SERVICE

4.1  CODE OF ETHICS

Implementation team members are advised to avoid conflict of interest and other ethical problems in accordance with the April 2, 1992, Department of Commerce Office of General Counsel guidelines:

- Members should disqualify themselves from advising on a matter that has direct and predictable effect on their personal financial interests, those of a client, or those of a company by which they are employed, apart from matters that are inherent in their employment or outside affiliation.

- Members should not solicit business for themselves or their firms or seek an economic advantage based on their position on the implementation team.

- Members should hold any unpublished information obtained as a result of their service on the implementation team in confidence and ensure that it is used exclusively for official purposes. Members should not use or permit the use of such information for their own private gain or the gain of another person.

- Members should not use the resources available to the implementation team for the purposes of assisting a political campaign, or for any campaign business.

4.2  LENGTH OF SERVICE

Length of service for the team leader and SEIT members shall be for two years, but the SERO may re-appoint an individual to an unlimited number of consecutive terms.

If a member changes jobs or is no longer a suitable representative for a given stakeholder group, the SERO will appoint a replacement representative.

Work groups are generally considered to be short term, topic driven entities and will disband upon completion of their assignment, or until the SERO deems it no longer necessary.
4.3 **MEETING ATTENDANCE**

In the interest of preserving continuity, SEIT members are strongly encouraged to attend all meetings (including all days of multi-day meetings). If an SEIT member is unable to attend a meeting due to circumstances beyond their control, a substitute representative from their stakeholder group may attend meetings as an observer. The purpose of the substitute representative is to keep the absent SEIT member informed of SEIT discussions.

SEIT members are volunteering their time and resources to participate on the SEIT. In order to avoid devaluing member efforts, meeting attendance and participation is strongly encouraged. If an SEIT member misses two meetings; NMFS SERO will, following discussions with the Team Leader and the individual member, consider replacing that SEIT member in order to preserve the integrity of the SEIT and its processes.

5 **MEETING ARRANGEMENTS AND OPERATING RULES**

5.1 **MEETINGS**

The SEIT will typically meet semi-annually. The Team Leader, in consultation with the SEIT and NOAA Fisheries Liaison, will decide on the timing and location for each SEIT meeting. The Team Leader will seek to minimize meeting costs (including travel) while maximizing travel efficiency for Team members. Meetings will generally consist of an information-sharing forum and a working session. Public participation is encouraged during the forum; however, public participation and attendance may be limited during working deliberative sessions.

5.2 **MEETING PROCEDURES**

A general call for SEIT Forum agenda items will be distributed to Southeast U.S. right whale recovery interests (i.e. Forum participants) at least 3 weeks prior to SEIT meetings. A final draft SEIT meeting agenda will be made available at least 2 weeks prior to SEIT meetings. Members will review meeting materials in advance of the meetings and come prepared to address the meeting objectives.

Meetings will start on time. Members who know that they will be absent, late, or have to leave early will inform the team leader in advance and coordinate with their alternates as needed.

The SEIT strives to preserve an environment of information sharing and candid
deliberations. SEIT members and other meeting attendees are expected to behave with professional courtesy and refrain from representing the views of others; characterizing ideas, proposals still under discussion, or information shared; or prejudge outcomes in public settings or in the media.

5.2.1 Participation and Collaboration

- **Active, focused participation.** Every member is responsible for communicating his/her perspectives and interests on the issues under consideration. Voicing these perspectives is essential to enable meaningful dialogue. Everyone will participate; no one will dominate. Only one person will speak at a time (i.e., no sidebar conversations). Everyone will help stay on track. Participants and other meeting attendees will turn off their cell phones during SEIT meetings.

- **Respectful interaction.** Participants will respect each other’s personal integrity, values and legitimacy of interests. This includes avoiding personal attacks and stereotyping.

- **Integration and creative thinking.** In developing, reviewing and revising work products, participants will strive to be open-minded and to integrate members’ ideas, perspectives and interests. Disagreements will be regarded as problems to be solved rather than battles to be won. Participants will attempt to reframe contentious issues and offer creative solutions to enable constructive dialogue.

- **Mutual gains approach.** Members will work to satisfy not only their own interests but also those of other SEIT members. Members are encouraged to be clear about their own interests and to recognize the important distinction between underlying interests and fixed positions.

5.2.2 Commitment to Process

- Members will make a good faith effort to work towards and eventually achieve recovery of the North Atlantic right whale. This includes working collaboratively with other SEIT members to develop a consensus-based product.

- As a set of mutual obligations, SEIT members will commit to adhere to these ground rules once they are ratified. SEIT members are encouraged to help uphold and enforce these ground rules.
5.2.3 **DECISION RULES**

- The SEIT will strive to develop and come to agreement on recovery plan implementation tasks and recommendations to SERO using a consensus decision rule. In particular, SEIT members will pursue “conditional unanimity,” where all participants are able to support (i.e., “to live with”) and agree not to block a package of agreements developed over the course of the group’s deliberations.

- In the event consensus cannot be reached, the SEIT will advise the SERO in writing on the range of possibilities considered by the team and the extent of support for respective elements of the SEIT’s proposals and recommendations. As appropriate, majority and minority views will also be represented.

5.2.4 **MULTI-INTEREST WORK GROUPS**

- NOAA Fisheries staff expect that cross-interest work groups may be an important way to develop constructive, integrative work products during and between SEIT meetings. The aim of such work groups is to encourage multi-interest options and work products rather than work products put forward by a single bloc or interest group. It is anticipated that work groups will meet primarily by teleconference.

- Work groups will be chaired by an SEIT member.

5.2.5 **MEDIA CONTACT AND CONTACT WITH POLITICAL REPRESENTATIVES**

- SEIT members will refrain from discussing details of the SEIT process with the media or political representatives. In particular, SEIT members will not represent the views of others, characterize ideas or proposals still under discussion, or prejudge outcomes. SEIT members recognize that premature efforts to "negotiate through the press" or through other political avenues can undermine the success of a collaborative effort.

- SEIT members contacted by the media are encouraged to direct these inquiries to NOAA Fisheries staff. As well, NOAA Fisheries staff will work with the SEIT to develop “talking points” for relating to the media.

5.2.6 **INFORMATION SHARING AND JOINT FACT FINDING**

- SEIT members recognize that the SEIT efforts depend on using the best readily available information.
• SEIT members commit to identify information needs in a timely fashion and to contribute to framing needs for additional research and analysis.

SEIT members commit to share, and not withhold, relevant information. Preliminary information will be treated as such.

6 IMPLEMENTATION TASKS

The goal of the ESA is to conserve the ecosystems upon which endangered and threatened species depend and to use all methods and procedures necessary to bring such species to the point at which the measures provided under the ESA are no longer necessary. This includes controlling or eliminating threats to these species and their habitat. A recovery plan identifies and assigns priorities to actions required for the recovery of the species. A recovered population is one that is unlikely to go extinct, and is also unlikely to need future listing under the ESA because all of the known threats to the species have been removed.

The information below lists the scope of implementation tasks that should be addressed by the SEIT.

6.1 IMPLEMENTATION SCHEDULE

The North Atlantic right whale recovery plan includes an implementation schedule or action plan that lists each recovery plan action, priority (see below), estimated cost, the time required for completion, and those responsible for carrying out the recovery actions. The implementation schedule is used to direct and monitor implementation and completion of recovery actions.

Guidelines for establishing priorities for all ESA-listed species were published in the Federal Register on June 15, 1990 (55 FR 24296), and are as follows:

<table>
<thead>
<tr>
<th>PRIORITY</th>
<th>TYPE OF RECOVERY ACTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>An action that must be taken to prevent extinction or to identify those actions necessary to prevent extinction.</td>
</tr>
<tr>
<td>2</td>
<td>An action that must be taken to prevent a significant decline in population numbers, habitat quality, or other significant negative impacts short of extinction.</td>
</tr>
<tr>
<td>3</td>
<td>All other actions necessary to provide for full recovery of the species.</td>
</tr>
</tbody>
</table>
The SEIT should address and implement recovery tasks in the order of their priority ranking and/or their relative importance for right whales in the Southeast U.S., recognizing that rare opportunities may occasionally arise for lower priority tasks and the team may recommend taking advantage of such opportunities.
Appendix A. NOAA Fisheries selection criteria for stakeholder group representatives as members of the SEIT.

The SEIT will represent a multi-disciplinary team in support of North Atlantic Right Whale Recovery Plan implementation in the Southeast U.S. and stakeholder group representatives will possess many of the following criteria:

- Ability to make time available.
- Diverse areas of expertise.
- Involve representatives of affected groups/stakeholders.
- Knowledge of species/or closely related species, or relevant disciplines, e.g., local planning, ecology, genetics.
- Expertise in the threats to right whales and factors contributing to status of the species.
- Expertise in recovery plan design or implementation.
- Recognized experts in the field and by other peers.
- Willing to explore all avenues in arriving at solutions necessary to recover species.
- Experience managing species.
- Willingness to work collaboratively.
- Demonstrated experience working with government on solutions.
- Able spokesperson and willing to voice interests.
- A demonstrated ability to forge creative solutions to complex problems.
- High achievement in a relevant discipline, which may include ecology, life history, shipping, resource management, whale biology.