

Science, Service, Stewardship



Seafood Import Monitoring Program Updates

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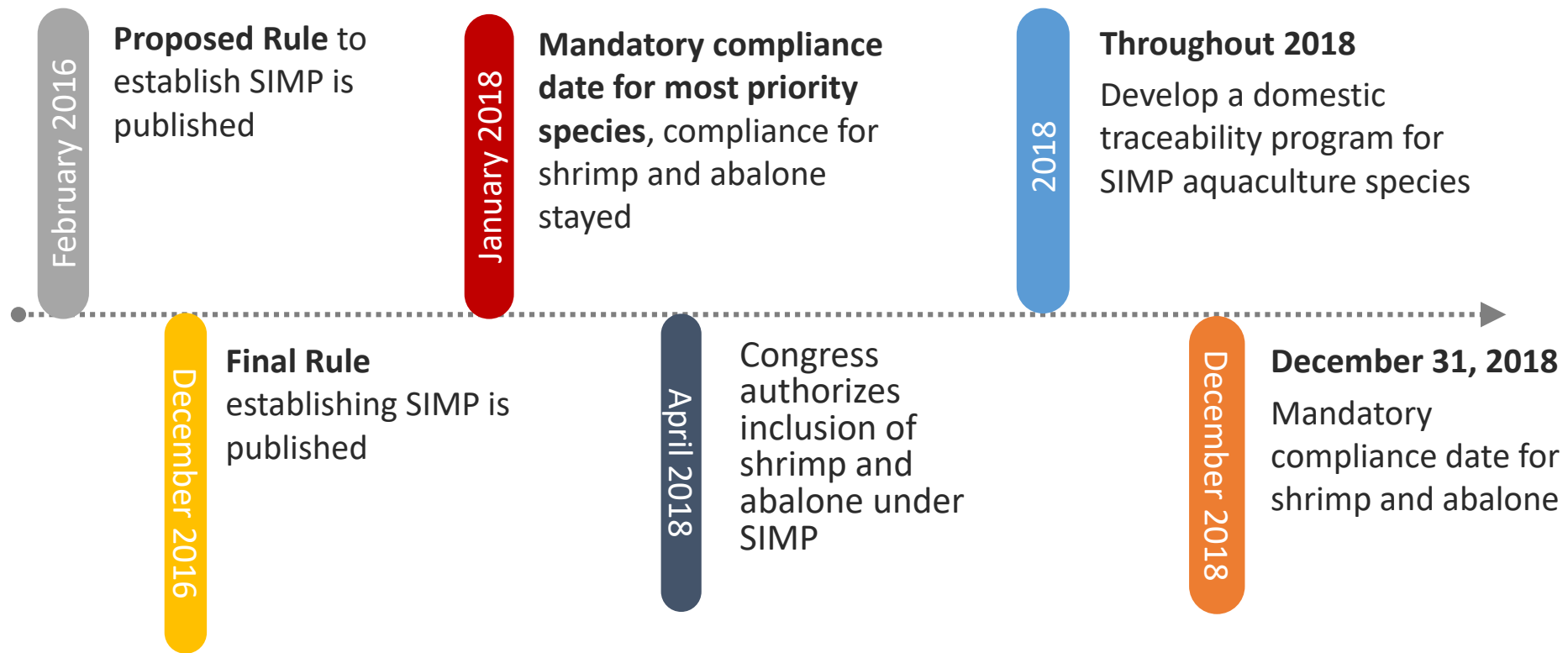
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**NOAA
FISHERIES
SERVICE**

The Seafood Import Monitoring Program establishes permitting, data reporting and recordkeeping requirements for the importation of certain priority fish and fish products that have been identified as being particularly vulnerable to IUU fishing and/or seafood fraud.



SIMP Implementation Timeline



Outreach Roundup: 2017-2018

Domestic

- Seafood Expo North America*
- 6 In-person SIMP roundtables for importers and brokers
- 5+ In-person workshops and conferences for brokers
- Dedicated SIMP support phone line and email
- 20+ English webinars for the public and individual companies upon request

**denotes multiple trips*

International

- Asia: India, Indonesia*, Thailand*, Vietnam*, China, Philippines, Russia, Brunei
- Americas: Ecuador*, Peru*, Canada, Mexico, Chile, Colombia
- International meetings
- Government-to-government meetings and trainings
- Translated webinars in Spanish, French, Japanese
- Diplomatic cables



SINGLE SPECIES

Atlantic cod

Blue crab (Atlantic)

Dolphinfish (Mahi Mahi)

King crab (red)

Pacific cod

Red snapper

Swordfish

SPECIES GROUPS

Abalone

Grouper

Sea cucumber

Sharks

Shrimp

Tunas

*Albacore, Bigeye,
Skipjack, Bluefin,
Yellowfin*

Appendix with all species and ASFIS codes available at www.iuufishing.noaa.gov



International Fisheries Trade Permit (IFTP)

An IFTP is required for import products covered by four NOAA Fisheries programs:

- **Seafood Import Monitoring Program**
- Tuna Tracking and Verification Program
- Antarctic Living Marine Resources Program
- Highly Migratory Species Program

The IFTP holder is the responsible party for SIMP, including recordkeeping, reporting, and audits.

Permit Requirements:

- Holder must be a U.S. resident
- Must be renewed annually



The Harvest Event

- Name and flag state of harvesting vessel
- Evidence of authorization to fish (permit or license number)
- Unique vessel identifier (when available)
- Type of fishing gear used
- Name of farm or aquaculture facility
- Area of wild-capture or aquaculture harvest

The First Off-loading

- Species of fish – Aquatic Sciences Fishery Information System (ASFIS) code
- Product form and weight at time of landing
- Landing date
- Landing port or delivery location
- Name and contact information of entity and facility to which the fish was first landed or delivered



Small Scale Fisheries/Farms

- Fishing Vessels ≤ 12 meters in length or ≤ 20 gross tons
- Aquaculture deliveries $\leq 1,000$ kg
- Multiple small-scale deliveries to 1 collection point in 1 day can be consolidated in an **Aggregated Harvest Report**
 - Not required if not available: Individual vessel/farm
 - Required: Number of deliveries, all other data elements



SIMP Audits Process

1. NMFS auditors send a request for records pertaining to the entry(ies) selected for audit by email to the designated International Fisheries Trade Permit (IFTP) holder, and may follow up with a call as necessary.
2. Auditors review submitted records and information for completeness. If the chain of custody documentation is incomplete, NMFS will send a follow-up request for supplemental records to the auditee, specifying key points in the supply chain where records are missing.
3. Auditors verify the adequacy and accuracy of traceability records and information provided.
4. NMFS will attempt to conclude audits within 30 days of receipt of records. Following the completion of an audit, importers of record will receive a letter describing the outcome of the audit.



Chain of Custody Record Examples

HARVEST AND LANDING RECORDS	SHIPMENT RECORDS	PROCESSING AND STORAGE RECORDS
Vessel Harvest Manifest Records	Processor's Bill of Lading	Daily Production Log
Raw Material Invoice	Processor Receiving Bill	Finished Product Packaging Label
Fish Tickets at Landing Port	Cold Storage Receiving Ticket	Cold Storage Discharge Log
Off Loading Reports with Vessel Name(s)	Vessel Transferred Ticket	Cold Storage Receiving Log
Country Catch Certificate	Mate's Receipt	Processor's Raw Material Receiving Log
Proforma Invoice	Transshipment Log Cold Storage Unloading Log	Packing Log



Resources and Support

Web: www.fisheries.noaa.gov/international-affairs

Email: SIMPsupport@noaa.gov

Phone: (toll) 301-427-8301 or (toll-free) 833-440-6599

